

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK**

MARVIN GONG, Individually and On
Behalf of All Others Similarly Situated,

Plaintiff,

v.

NEPTUNE WELLNESS SOLUTIONS INC.,
MICHAEL CAMMARATA, TONI RINOW
and MARTIN LANDRY,

Defendants.

Case No. 2:21-cv-01386-ENV-ARL

CLASS ACTION

Hon. Eric N. Vitaliano
Hon. Arlene R. Lindsay

**DECLARATION OF ERIC A. NORDSKOG IN SUPPORT OF PLAINTIFF'S MOTION
TO DISTRIBUTE NET SETTLEMENT FUND**

I, ERIC A. NORDSKOG, declare as follows:

1. I am a Director of A.B. Data, Ltd.'s Class Action Administration Company ("A.B. Data"), whose corporate office is located in Milwaukee, Wisconsin. I am over 21 years of age and am not a party to the above-captioned action (the "Action").¹ I have personal knowledge of the facts set forth herein and, if called as a witness, could and would testify competently thereto.

2. Pursuant to the Court's March 16, 2023, Order granting preliminary approval of the class action settlement and plan of allocation; certification of the proposed class; and approval of the notice to the class (the "Preliminary Approval Order") (ECF No. 59), the Court approved the retention of A.B. Data as the Settlement Administrator. Since that time, A.B. Data has, among other things: (a) caused Postcard Notices to be mailed to potential Class Members, brokers, and other nominees; (b) created and maintained a toll-free helpline, 1-877-254-8530, for inquiries

¹ Unless otherwise defined herein, all capitalized terms have the meanings set forth in the Stipulation of Settlement dated December 6, 2022 ("Stipulation"). ECF No. 57-2.

during the course of the administration; (c) designed, launched, and maintained a case-specific website (www.NeptuneSecuritiesSettlement.com, the “Settlement Website”), which includes the option to submit a Proof of Claim and Release (“Claim Form” or “Claim”) online and provides access to copies of the Stipulation, Preliminary Approval Order, the Notice, and Claim Form; (d) caused the Summary Notice to be published; (e) provided, upon request, additional copies of the Postcard Notice to brokers, nominees, and potential Class Members; and (f) received and processed Claim Forms.

3. A.B. Data has completed processing all 3,374 Claim Forms received through July 22, 2024, in accordance with the terms of the Stipulation and the Court-approved Plan of Allocation set forth in the Notice, and hereby submits its administrative determinations accepting and rejecting Claims in preparation for a distribution of the Net Settlement Fund to Authorized Claimants. A.B. Data also presents this Declaration in support of Plaintiff’s Motion to Distribute Net Settlement Fund.

I. DISSEMINATION OF NOTICE

4. As more fully described in the Declaration of Eric A. Nordskog Regarding: (A) Mailing of the Postcard Notice; (B) Publication of the Summary Notice; and (C) Report on Requests for Exclusion and Objections dated May 22, 2023 (the “Initial Nordskog Declaration”) (ECF No. 64-2), the Supplemental Declaration of Eric A. Nordskog Regarding: (A) Mailing of the Postcard Notice; (B) Publication of the Summary Notice; and (C) Report on Requests for Exclusion and Objections dated July 6, 2023 (the “Supplemental Nordskog Declaration”) (ECF No. 65-1), A.B. Data has fully complied with the Court-approved notice plan. To date, A.B. Data has disseminated a total of 64,000 Postcard Notices to potential Class Members, brokers, and other nominees.

5. Postcard Notices that were returned by the U.S. Postal Service as undeliverable were reviewed for updated addresses and, where available, new addresses were entered into the database and new Postcard Notices were mailed to the updated addresses. Any correspondence received through the post office box was reviewed and, where necessary, appropriate responses were provided to the senders.

6. Additionally, pursuant to the Preliminary Approval Order, on April 11, 2023, A.B. Data caused the Summary Notice to be transmitted over *PR Newswire*.

II. PROCEDURES FOLLOWED IN PROCESSING CLAIMS

7. Under the terms of the Preliminary Approval Order and as set forth in the Postcard Notice, each Class Member who wished to be eligible to receive a distribution from the Net Settlement Fund was required to complete and submit to A.B. Data a properly executed Claim Form postmarked no later than May 21, 2023, together with adequate supporting documentation for the transactions and holdings reported therein. Through July 22, 2024, A.B. Data has received and fully processed 3,374 Claims.

8. In preparation for receiving and processing Claims, A.B. Data: (a) created a unique database to store Claim details, images of Claims, and supporting documentation; (b) trained staff in the specifics of the Settlement so that Claims would be properly processed; (c) formulated a system so that telephone and email inquiries would be properly responded to; (d) developed various computer programs and screens for entry of Class Members' identifying information, as well as their transactional information; and (e) developed a proprietary "calculation module" that would calculate Recognized Loss Amounts pursuant to the Court-approved Plan of Allocation set forth in the Notice.

9. Class Members seeking to share in the Net Settlement Fund had the option of submitting their Claims using the Settlement Website, electronically via email, or through the mail to a post office box address specifically designated for the Settlement.

A. Processing Paper Claims

10. Of the 3,374 Claims received by A.B. Data through July 22, 2024, 164 were “Paper Claims.” Once received, Paper Claims were opened and prepared for scanning. This process included unfolding documents, removing staples, copying non-conforming sized documents, sorting documents, and, where Claimant identification information was not provided on the Claim Form, copying and attaching the envelope with the return address to the file. Once prepared, Paper Claims were scanned into a database developed by A.B. Data to process Claims submitted for the Settlement (the “Settlement Database”) together with all submitted documentation. Subsequently, each Claim was assigned a unique claim number. Once scanned, the information from each Claim Form, including the name, address, and the Claimant’s purchase/acquisition transactions, sale transactions, and holdings listed in the Claim Form, was entered into the Settlement Database. Next, the information provided by each Claimant in support of his, her, or its Claim was reviewed to determine whether each Claimant had purchased or otherwise acquired Neptune securities on the NASDAQ or another U.S. trading venue between July 24, 2019, and July 15, 2021, both dates inclusive, as required to be a Class Member.

11. In order to process the transactions detailed in the Claims, A.B. Data utilized internal codes to identify and classify Claims and any deficiency or ineligibility conditions that existed within those Claims. The appropriate codes were assigned to the Claims as they were processed. For example, where a Claim was submitted by a Claimant who did not purchase or otherwise acquire any Neptune securities on the NASDAQ or another U.S. trading venue between

July 24, 2019, and July 15, 2021, both dates inclusive, that Claim received a “Claim level” code that denoted ineligibility. Similar “Claim level” codes were used to denote other ineligible conditions, such as duplicate Claims. These codes indicated to A.B. Data that the Claimant is not eligible to receive any payment from the Net Settlement Fund with respect to that Claim unless the deficiency is cured.

12. Because a Claim may be deficient only in part, but otherwise acceptable, A.B. Data also utilized codes that only applied to specific transactions within a Claim. For example, if a Claimant submitted a Claim with supporting documentation for all but one purchase transaction, that one transaction received a “transaction level” code. That code indicated that one transaction was deficient, but that the Claim was otherwise eligible for payment if other transactions in the Claim calculated to a Recognized Claim pursuant to the Court-approved Plan of Allocation. Thus, even if the transaction level deficiency was never cured, the Claim could still be paid in part.

B. Processing of Web Claims

13. Of the 3,374 Claims received by A.B. Data through July 22, 2024, 1,936 were submitted by Claimants via the Claim Portal on the Settlement Website (“Web Claims”). Once received, Web Claims were imported into the Settlement Database. This process included assigning a unique Claim number to each Web Claim and mapping the submission form to the database so that entries could be evaluated and calculated according to the Plan of Allocation. Next, and identical to the process utilized for Paper Claims, the information provided by each Claimant in support of his, her, or its Web Claim was reviewed to determine whether the Claimant purchased or otherwise acquired Neptune securities on the NASDAQ or another U.S. trading venue between July 24, 2019, and July 15, 2021, both dates inclusive, as required to be a Class Member. A.B. Data utilized the same internal Claim codes to identify and classify Web Claims,

so the appropriate codes were assigned to the Web Claims as they were processed.

C. Processing Claims Submitted Electronically

14. Of the 3,374 Claims received by A.B. Data through July 22, 2024, 1,274 were filed electronically (“Electronic Claims”). Electronic Claims are typically submitted by institutional investors who may have hundreds or thousands of transactions during the relevant period. Rather than provide reams of paper requiring data entry, the institutional investors filing Electronic Claims either mail a computer disc or email a file to A.B. Data, so that A.B. Data may electronically upload all transactions to the Settlement Database.

15. A.B. Data maintains an electronic filing operations team (the “Electronic Filing Team”) to coordinate and supervise the receipt and handling of all Electronic Claims. In this case, as in all other claims administrations, the Electronic Filing Team reviewed and analyzed each electronic file to ensure that it was formatted in accordance with A.B. Data’s required format, and to identify any potential data issues or inconsistencies within the file. If any issues or inconsistencies arose, A.B. Data immediately notified the filer. If the electronic file was deemed to be in an acceptable format, it was then forwarded to A.B. Data’s data team with detailed loading instructions including the number of Claims and transaction totals that the institution provided when it sent the electronic file.

16. Once the electronic file was loaded, A.B. Data’s Quality Assurance personnel reviewed the electronic file to confirm that the number of Claims and transactions matched the information provided by the filer.

17. Once the Claims and transaction totals were confirmed, the Electronic Claims were coded just like Paper Claims with messages to identify and classify Electronic Claims and any deficiency or ineligibility conditions that existed within them. The codes applied to the Electronic

Claims are the same as those applied to Paper Claims; however, the process in applying the codes to the Electronic Claims differs from the process used for Paper Claims. Rather than manually applying codes, the Electronic Filing Team performed programmatic reviews on Electronic Claims to identify deficient and ineligible conditions (such as, but not limited to, price per share validation issues and out of balance conditions). The appropriate codes were then assigned programmatically once the output of the reviews was thoroughly analyzed and confirmed as accurate.

18. The review process also included flagging any Electronic Claims that were not accompanied by the following: (a) a signed Claim Form, which serves as a “Master Proof of Claim Form” for all accounts referenced on the electronic file submitted; (b) an electronic filing summary sheet; (c) supporting documentation, such as a signed or notarized letter on company letterhead attesting to the truth and accuracy of the data on the electronic file, trade confirmations, and/or brokerage account statements; (d) a notarized affidavit, corporate resolution, or corporate bylaws verifying that the individual who executed the Claim Form and submitted the electronic file is an authorized signatory of his/her company with the authority to file such information; and (e) documentation to demonstrate the authority to file on behalf of the Claimant. This portion of the review process was also reviewed by A.B. Data’s Quality Assurance personnel, who worked in conjunction with the Electronic Filing Team to contact the institutional filers whose electronic files were missing information. This process ensures that only fully completed Claims, submitted by properly authorized representatives of the Claimants, are considered eligible for payment from the Net Settlement Fund.

19. At the end of the process, A.B. Data performed various audits of Electronic Claims. Specifically, A.B. Data contacted a number of electronic filers who, in lieu of providing specific trade confirmations, provided certain other forms of supporting documentation as set forth in ¶18

above, and requested that various sample transactions selected by A.B. Data be documented by providing confirmation slips or other transaction-specific supporting documentation. This random sampling and request for follow up verification help to ensure that electronic data supplied by Claimants did not contain inaccurate information. A.B. Data performed this final check on a variety of electronic files randomly selected, as well as on the electronic files with the largest Recognized Claims.

III. EXCLUDED PERSONS

20. A.B. Data also reviewed all Claims to ensure that they were not submitted by, or on behalf of, persons or entities excluded from the Class by definition, to the extent that the identities of such persons or entities were known to A.B. Data through the list of Defendants and other excluded persons and entities set forth in the Stipulation and the Notice.

IV. THE DEFICIENCY PROCESS

A. Paper and Web Claims

21. A significant number of Paper and Web Claims submitted were incomplete or had one or more defects or conditions of ineligibility, such as the Claim not being signed, not being properly documented, or not indicating a transaction in Neptune securities during the Class Period.

22. The majority of A.B. Data's efforts in handling a claims administration involve Claimant communications, so that all Claimants have sufficient opportunity to cure any deficiencies and file a complete Claim. The "Deficiency Process," which primarily involved mailing or emailing letters to Claimants and, in response, making and receiving calls and sending and receiving emails to and from Claimants, was intended to assist Claimants in properly completing their otherwise deficient submissions so that they would be eligible to receive a distribution from the Net Settlement Fund.

23. If a Claim was determined to be defective or ineligible, a *Notice of Rejection of Claim* or a *Notice of Partial Rejection of Claim* (“Deficiency Notices”) was sent to the Claimant describing the defect(s) or condition(s) of ineligibility in their Claim and what was necessary to cure any “curable” defect(s) in the Claim. The Deficiency Notices advised the Claimants that the submission of the appropriate information and/or documentary evidence to complete the Claim had to be sent within twenty (20) days from the date of the letter, or the Claim would be recommended for rejection to the extent the deficiency or condition of ineligibility was not cured. The Deficiency Notices also advised Claimants that if they desired to contest the administrative determination, they were required to submit a written statement to A.B. Data requesting Court review of the determination and setting forth the basis for the request. Attached hereto as Exhibit A is an example of the Deficiency Notice.

24. Claimants’ responses to the Deficiency Notices were scanned into A.B. Data’s database and associated with the corresponding Claim. The responses were then carefully reviewed and evaluated by A.B. Data’s team of processors. If a Claimant’s response corrected the defect(s), A.B. Data updated the database manually to reflect the change in status of the Claim.

B. Electronic Claims

25. In addition, for Electronic Claims, A.B. Data used the following process to contact the banks, brokers, nominees, and other filers who submitted their data electronically to confirm receipt of their submissions and to notify the filers of any deficiencies or Claims that were ineligible. These filers were each sent an email to the email address included with their Claim Form (“Status Email”) with an attached Excel spreadsheet containing detailed information associated with the accounts and indicating which of those accounts within the filing were deficient and/or rejected (“Status Spreadsheet”).

26. Each Status Email sent to the email address of record provided with the Claim contained the following information:

- a. Notification to the filer that any Claims with deficiencies not corrected within twenty (20) days from the date of the email may be rejected;
- b. Notification to the filer of his, her, or its right to contest the rejection of the Claim(s) and request this Court's review of A.B. Data's administrative determination within twenty (20) days from the date of the Status Email; and
- c. Instructions for how to submit corrections.

27. The Status Spreadsheet attached to the Status Email contained the following information:

- a. A listing of all accounts associated with the filing with unique identification numbers;
- b. Identification of the individual accounts that were found to be deficient or ineligible;
- c. The current status of the account in A.B. Data's database; and
- d. The current Recognized Claim calculation associated with the account.

28. Samples of the Status Email and the Status Spreadsheet are attached hereto as Exhibits B and C, respectively.

29. The E-Claim Filers' responses were reviewed by A.B. Data's ECF Team, were scanned and/or loaded into A.B. Data's database and were associated with the corresponding Electronic Claims. If a response corrected the defect(s) or affected an Electronic Claim's status, A.B. Data manually and/or programmatically updated the database to reflect the changes in status of the Electronic Claim.

V. DISPUTED CLAIMS

30. As noted above, Claimants were advised that they had the right to contest A.B. Data's administrative determination of deficiencies or ineligibility within twenty (20) days from the date of notification and that they could request that the dispute be submitted to the Court for review. More specifically, such persons were advised in the Deficiency Notice and in the Status Emails that to dispute A.B. Data's determinations, they needed to provide a statement of reasons indicating their grounds for contesting the rejection, along with supporting documentation. To date, A.B. Data has received one (1) request for Court review. To resolve this dispute without necessitating the Court's intervention, A.B. Data reached out to the Claimant requesting Court review and attempted to answer all questions, fully explain A.B. Data's administrative determination of the Claim's status and facilitate the submission of missing information or documentation where applicable. As a result, the one request for Court Review was withdrawn by the Claimant.

VI. LATE BUT OTHERWISE ELIGIBLE CLAIMS

31. Through July 22, 2024, A.B. Data received 1,193 Claims that were submitted after the May 21, 2023, claims deadline established by the Court. A.B. Data processed all late Claims received through July 22, 2024, and 666 have been found to be otherwise eligible in whole or in part (the "Late But Otherwise Eligible Claims"). A.B. Data has not rejected any Claims received through July 22, 2024, solely based on their late submission, and believes no delay has resulted from the provisional acceptance of these Late But Otherwise Eligible Claims. To the extent they are eligible but for the fact that they were late, A.B. Data recommends that they be eligible for payment.

32. However, there must be a final cut-off date after which no more Claims will be

accepted so that there may be a proportional distribution of the Net Settlement Fund and the distribution may be accomplished. Acceptance of additional Claims or responses to Deficiency Notices received during the finalization of the administration and the preparation of this application would necessarily require a delay in the distribution. Accordingly, A.B. Data requests that no Claims or responses to Deficiency Notices received after July 22, 2024, shall be eligible for payment in the initial distribution. As of today's date, no further Claim or adjusted Claim has been received by A.B. Data after July 22, 2024.

VII. QUALITY ASSURANCE

33. An integral part of all of A.B. Data's settlement administration projects is its Quality Assurance review. A.B. Data's Quality Assurance personnel worked throughout the entire administration to verify that Claims were processed properly; that deficiency and ineligibility codes were properly applied to Claims; that Deficiency Notices were mailed to the appropriate Claimants; and that A.B. Data's computer programs were operating properly.

34. Once all of the Claims were processed, Deficiency Notices were mailed, and responses to those notices were reviewed and processed, A.B. Data's Quality Assurance team performed a final project wrap up to ensure the correctness and completeness of all Claims before A.B. Data prepared its final reports to Plaintiff's Counsel. Here, in connection with this Quality Assurance wrap up, A.B. Data: (a) confirmed that valid Claims have no messages denoting ineligibility; (b) confirmed that Claims that are ineligible have messages denoting ineligibility; (c) confirmed that Claims that did not contain purchases or acquisitions of Neptune securities on the NASDAQ or another U.S. trading venue between July 24, 2019, and July 15, 2021, both dates inclusive, contain appropriate ineligibility messages; (d) confirmed that Claim detail (transaction) messages appear only on Claim detail records; (e) confirmed that all Claims requiring Deficiency

Notices were sent such notices; (f) performed a sample review of deficient Claims; (g) reviewed Claims with large dollar losses; (h) sampled Claims that had been determined to be ineligible, including those with no calculated Recognized Claim under the Plan of Allocation, in order to verify that all transactions had been captured correctly; and (i) tested the accuracy of the calculation program.

35. In support of the work described above, A.B. Data's computer staff designed and implemented, and the Quality Assurance team tested, the following programs for this administration: (a) data entry screens that store Claim information (including all transactional data included in each Claim) and attach codes and, where necessary, text to denote conditions existing within the Claim; (b) programs to load and analyze transactional data submitted electronically for all Electronic Claims; (c) a program to compare the claimed transaction prices against the reported market prices to confirm that the claimed transactions were within an acceptable range of the reported market prices; (d) a calculation program to analyze the transactional data for all Claims and calculate each Claimant's Recognized Claim based on the Plan of Allocation; and (e) programs to generate various reports throughout and at the conclusion of the administration, including lists of all eligible and ineligible Claims.

36. A.B. Data also used a variety of fraud protection controls throughout the administration to identify potential fraudulent Claims. Searches for duplicate Claims (by beneficial owner name, Tax Identification Number, account number, and Recognized Claim amounts), high value reviews, spot reviews, and other standard audit reports that examined the information in a variety of ways, were used during A.B. Data's Quality Assurance review.

37. As part of its due diligence in processing Claims, A.B. Data conducted a search of all Claims filed in the Settlement using the database it maintains of known questionable claim

filers. This database contains names, addresses, and aliases of individuals or entities that have been investigated by government agencies for questionable claim filings, as well as the names and contact information compiled from previous settlements that A.B. Data has administered where fraudulent claims were received. A.B. Data updates the database on a regular basis. The database for the Settlement was searched for all individuals identified as questionable claim filers. A.B. Data performed searches based on name, aliases, address, and city/ZIP code. In addition, all of A.B. Data's claim processors are trained to identify any potentially inauthentic documentation when processing claims, including claims submitted by claimants not previously captured in our database as previously identified questionable claim filers. Processors are instructed to flag Claims as "Questionable Claims" and route them to management for review. To date, A.B. Data has not identified any claims submitted by a questionable claim filer.

38. In accordance with the Office of Foreign Asset Control, known as OFAC, A.B. Data will perform searches on every check that it will issue to identify any potential payees whose names appear on the federal government's restricted persons list or who reside in countries to which payments are prohibited. A.B. Data regularly monitors changes to OFAC regulations and guidelines.

VIII. DISPOSITION OF CLAIMS

39. A.B. Data has completed the processing of the 3,374 Claims that were received through July 22, 2024, and has determined that (a) 1,956 are acceptable in whole or in part, and that (b) 1,418 should be wholly rejected because they are ineligible for recovery from the Net Settlement Fund. The 1,418 wholly rejected Claims are ineligible for the following reasons:

- a. 505 Claims had no purchase(s) of Neptune securities during the Class Period;
- b. 805 Claims did not result in a Recognized Claim under the Court-approved Plan of Allocation;
- c. 90 Claims were duplicates or replaced;
- d. 18 Claims traded Neptune securities on a non-U.S. trading venue

40. A list of the Claims submitted, and their ultimate disposition, are attached hereto as Exhibits D through F. Exhibit D, entitled “Timely Eligible Claims,” lists all timely filed, provisionally accepted Claims and states each Claim’s Recognized Claim. Exhibit E, entitled “Late But Otherwise Eligible Claims,” lists all late filed (*i.e.*, Claims with a postmark date or which were received after March 21, 2023, but on or before July 22, 2024), provisionally accepted Claims and states each Claim’s Recognized Claim. Exhibit F, entitled “Rejected Claims,” lists all wholly rejected Claims and states the reason for each Claim’s rejection. For privacy reasons, Exhibits D through F provide only the Claimant’s unique Claim number assigned by A.B. Data, along with that Claim’s Recognized Claim or reason for rejection (no names, addresses, or Social Security or other Taxpayer Identification Numbers are disclosed).

41. The total Recognized Claims for all provisionally accepted Claims (as set forth in Exhibits D and E hereto) calculated in accordance with the Court-approved Plan of Allocation is \$28,713,450.45 (the total Recognized Claims for the 1,290 Timely Eligible Claims is \$27,160,901.40 and the total Recognized Claims for the 666 Late But Otherwise Eligible Claims is \$1,552,549.05). According to the Plan of Allocation, each Authorized Claimant shall be allocated a *pro rata* share of the Net Settlement Fund based on the percentage that his, her, or its Recognized Claim bears to the total of the Recognized Claims of all Authorized Claimants; however, as set forth in the Court-approved Plan of Allocation, if an Authorized Claimant’s

prorated payment calculates to less than \$20.00, it will not be included in the calculation and no distribution will be made to such Authorized Claimant.

IX. A.B. DATA'S REQUESTED FEES AND DISBURSEMENTS

42. A.B. Data agreed to be the Claims Administrator in exchange for payment of its fees and out-of-pocket expenses. Plaintiff's Counsel received reports on all of the work A.B. Data performed with respect to the administration of the Settlement and authorized the claims administration work performed herein. Attached hereto as Exhibit G are copies of A.B. Data's invoices for its work performed on behalf of the Class, as well as an estimate for the work that will be performed and the costs that will be incurred in connection with the Initial Distribution (defined below) to Authorized Claimants. As set forth on these invoices, the cost of administration, up to the Initial Distribution, is \$215,287.64 in fees and expenses. The estimated cost of conducting the Initial Distribution is \$31,288.57 To date, A.B. Data has received payment of fees and expenses in the amount of \$111,841.79. Therefore, the total amount of A.B. Data's requested fees and expenses from the Settlement Fund is \$134,734.42.²

X. DISTRIBUTION PLAN FOR THE NET SETTLEMENT FUND

43. Should the Court concur with A.B. Data's determinations concerning the provisionally accepted and rejected Claims, including the Late but Otherwise Eligible Claims, A.B. Data respectfully recommends the following distribution plan (the "Distribution Plan"):

- a. A.B. Data will conduct an initial distribution (the "Initial Distribution") of the available balance of the Net Settlement Fund, after deducting all payments previously approved by the Court and requested herein, and after

² If the estimate of fees and expenses to conduct the Initial Distribution is greater than the actual cost to conduct the distribution, the excess will be returned to the Net Settlement Fund.

deducting payment of any estimated taxes, the costs of preparing tax returns, and any escrow fees, as follows:

- i. Pursuant to the Court-approved Plan of Allocation, A.B. Data will calculate each Authorized Claimant's *pro rata* share of the Net Settlement Fund based on the amount of the Authorized Claimant's Recognized Claim in comparison to the total Recognized Claims of all Authorized Claimants.
- ii. A.B. Data will, pursuant to the Court-approved Plan of Allocation, eliminate from the distribution any Authorized Claimant whose *pro rata* share of the Net Settlement Fund as calculated under subparagraph (a)(i) above is less than \$20.00. Such Claimants will not receive any payment from the Net Settlement Fund.
- iii. After eliminating Claimants who would have received less than \$20.00, A.B. Data will recalculate the *pro rata* share of the Net Settlement Fund for Authorized Claimants who would have received \$20.00 or more pursuant to the calculation described in subparagraph (a)(i) above. This *pro rata* share is the Authorized Claimant's "Distribution Amount."
- iv. To encourage Authorized Claimants to cash their distribution checks promptly, and to avoid or reduce future expenses relating to unpaid distribution checks, all Initial Distribution checks will bear the notation: "DEPOSIT PROMPTLY, VOID AND SUBJECT TO RE-DISTRIBUTION IF NOT NEGOTIATED WITHIN 120 DAYS OF

DISTRIBUTION.”³

- v. Authorized Claimants who do not cash their Initial Distribution checks within the time allotted, or pursuant to further action as set forth in footnote 3, will irrevocably forfeit all recovery from the Settlement.

- b. Consistent with the Court-approved Plan of Allocation, if any funds remain in the Net Settlement Fund after the distribution because of uncashed checks or otherwise, then, after A.B. Data has made reasonable and diligent efforts to have Authorized Claimants cash their distribution checks (which efforts shall consist of the extensive follow up efforts described in footnote 3), any balance remaining in the Net Settlement Fund six (6) months after the distribution, or as reasonably soon thereafter, shall, if Plaintiff’s Counsel, in consultation with A.B. Data, determine it to be cost effective to do so, be redistributed, after payment of any unpaid fees and expenses incurred in administering the Settlement, including for such redistribution and after deducting payment of any estimated taxes, the costs of preparing

³ In an effort to have as many Authorized Claimants as possible cash their checks, A.B. Data will perform follow up with those Authorized Claimants whose checks are initially uncashed, either because they are returned to A.B. Data as undeliverable or because the Authorized Claimant simply did not cash the check after a period of time elapses (the “Outreach Program”). For Authorized Claimants whose checks are returned as undeliverable, A.B. Data will endeavor to locate new addresses by running the undeliverable addresses through the U.S.P.S. National Change of Address database and, where appropriate, via Internet search techniques and by calling the Authorized Claimants. Where a new address is located, A.B. Data will update the database accordingly and re-issue a distribution check to the Authorized Claimant at the new address. For any Authorized Claimants whose checks are not returned but who simply do not cash their checks, A.B. Data will use a mix of automated calls, personalized telephone calls, and emails to urge such Authorized Claimants to cash their distribution checks. In the event an Authorized Claimant loses or damages his, her, or its check, or otherwise requires a new check, A.B. Data will issue replacement checks. Distribution reissues will be undertaken only upon written instructions from the Authorized Claimant, provided that the Authorized Claimant returns the previous check where appropriate. For all checks, A.B. Data will void the initial payment prior to reissuing a payment.

appropriate tax returns and any escrow fees, to Authorized Claimants who have cashed their distribution checks and who would receive at least \$20.00 from such redistribution, with additional distributions thereafter in six-month intervals, subject to the conditions previously noted, until Plaintiff's Counsel, in consultation with A.B. Data, determine that further redistribution is not cost effective.

- c. At such time as Plaintiff's Counsel, in consultation with A.B. Data, determines that the redistribution of funds remaining in the Net Settlement Fund is not cost effective, if sufficient funds remain to warrant the processing of Claims received after July 22, 2024, such Claims will be processed, and any such Claims that are otherwise valid, as well as any earlier received Claims for which an adjustment was received after July 22, 2024, which resulted in an increased Recognized Claim, will be paid in accordance with paragraph (d) below. If any funds shall remain in the Net Settlement Fund after payment of such late or late adjusted Claims, the remaining balance shall be contributed to a certified tax-exempt organization under IRS Code Section 501(c)(3) subject to Court approval.
- d. No new Claims may be accepted after July 22, 2024, and no further adjustments to Claims received on or before July 22, 2024, that would result in an increased Recognized Claim may be made for any reason after July 22, 2024, subject to the following exception: If Claims are received or modified after July 22, 2024, that would be eligible for payment or additional payment under the Plan of Allocation if timely received then, at

the time that Plaintiff's Counsel, in consultation with A.B. Data, determine that a redistribution is not cost effective as provided in paragraph (c) above, then, after payment of any unpaid fees or expenses incurred in connection with administering the Settlement and after the payment of any estimated taxes, the costs of preparing tax returns, and any escrow fees, such Claimants, at the discretion of Plaintiff's Counsel, may be paid their distribution amounts or additional distribution amounts on a *pro rata* basis that would bring them into parity with other Authorized Claimants who have cashed all of their prior distribution checks to the extent possible.

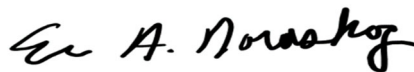
- e. Unless otherwise ordered by the Court, A.B. Data will dispose of the paper copies of Claims and all supporting documentation one (1) year from the final distribution date of the Net Settlement Fund and will dispose of electronic copies of the same three (3) years after the final distribution date of the Net Settlement Fund.

XI. CONCLUSION

44. A.B. Data respectfully requests that the Court enter an Order approving its administrative determinations accepting and rejecting the Claims submitted herein and received on or before July 22, 2024, and approving the Distribution Plan. A.B. Data further respectfully requests payment of its estimate to complete the Initial Distribution, attached hereto as Exhibit G.

I declare, under penalty of perjury under the laws of the United States of America, that the foregoing is true and correct to the best of my knowledge.

Executed on July 24, 2024.

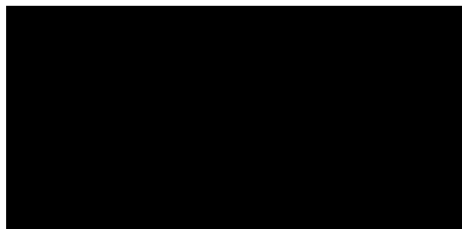


Eric A. Nordskog

EXHIBIT A

NEPTUNE SECURITIES SETTLEMENT
C/O A.B. DATA, LTD.
P.O. BOX 173073
MILWAUKEE, WI 53217

FIRST CLASS MAIL
US POSTAGE
PAID
MILWAUKEE, WI
PERMIT 3780



NOTICE OF REJECTION OF CLAIM

DATE: December 08, 2023
RE: *Neptune Securities Settlement*
CLAIM NUMBER: 218734576
RESPONSE DEADLINE: December 28, 2023

Dear Claimant:

We have processed the Proof of Claim and Release Form (“Claim”) that you submitted in connection with the settlement achieved in the above-referenced litigation. Your Claim, based on our review, is ineligible for a recovery for the reason(s) listed below. Please note that some reasons for ineligibility are curable. To resolve the identified curable condition(s) of ineligibility, please follow the instructions below.

In order for this Claim to be eligible, the identified curable conditions of ineligibility must be resolved and the calculation of the Claim must then amount to a Recognized Claim under the Court-approved Plan of Allocation set forth in the Settlement Notice you previously received. Please include a copy of this notice with your response. **If you fail to respond by the response deadline printed above, or if your response fails to cure the condition(s) identified below, your Claim will be rejected in its entirety. Please note that this is the only notice you will receive with respect to this Claim.**

No “Recognized Claim” Pursuant to Court-Approved Plan of Allocation

In accordance with the Court-approved Plan of Allocation set forth in the Settlement Notice (which was previously provided to you and is available for review on the Settlement website), we have determined that this Claim calculates to a “Recognized Claim” of zero and therefore is not eligible to receive any distribution from the Net Settlement Fund.

This is NOT a curable deficiency unless you had additional transactions in Neptune Wellness Solutions Inc. (“Neptune”) Securities from July 24, 2019, through and including October 13, 2021, that are not reflected in your Claim.

Please note, your Claim may also have other ineligible conditions listed in this notice. If the ineligible conditions listed in this notice are not cured, the Claim will not be recommended for approval, and, therefore, it will not be eligible to receive any recovery. If other ineligible conditions are resolved but the Claim still does not calculate to a Recognized Claim under the Plan of Allocation, the Claim will remain ineligible.

Do not send originals of any supporting documentation that you provide in response to this notice. Send only copies of supporting documents in response to this notice. Documents provided in response to this notice will not be returned.

Claims that are not cured by the above response deadline will be rejected. If you believe your Claim has been rejected in error, you may contact us for assistance and/or to request Court review of our determination. To request Court review of your Claim, you must send us a signed written statement that: (a) states your reasons for contesting the rejection of this Claim, along with any and all documentation supporting your argument(s); (b) specifically states that you “request that the Court review the rejection of this Claim”; and (c) includes a copy of this notice, postmarked no later than the response deadline set forth above. If the dispute concerning your Claim cannot be otherwise resolved, your Claim will be presented to the Court for review, which may include public filing of your Claim and supporting documentation with the Court (with financial account numbers and certain other information redacted). **Please note: Court review should only be sought if you disagree with the determination regarding this Claim.**

If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at (877) 254-8530 or email us at info@NeptuneSecuritiesSettlement.com. Please reference the Claim Number listed above in any communication. If you would like to view or download the Settlement Notice (which contains the Plan of Allocation), you may do so by visiting www.NeptuneSecuritiesSettlement.com.

Sincerely,

A.B. DATA, LTD.
Claims Administrator

EXHIBIT B

Dear Electronic Filer:

Please see the attached spreadsheet containing the status of all accounts received with your electronic filing in the *Gong v. Neptune Wellness Solutions, Inc., et al.*, No. 2:21-cv-01386-ENV-ARL (US District Court Eastern District of New York) as of {Date Sent}.

The information contained in the attached spreadsheet serves as notification of all deficiencies and rejections for all accounts. The first tab of the spreadsheet contains the Deficiency Key, which provides detailed descriptions and resolutions for further assistance. The current status of all claims can be located on the Claim Summary tab in column I, titled Claim Status:

- A. Full Rejection: If you fail to respond within twenty (20) days, or if your response fails to resolve the curable condition(s) identified on the attached file, your Claim will be rejected in its entirety.
- B. Partial Rejection: If you fail to respond within twenty (20) days, or if your response fails to cure the condition(s) identified on the attached file, your Claim will be rejected to the extent that those condition(s) remain uncured.
- C. Accepted: Claim is currently in good standing.

*Please note that any Claim may be subjected to a request for additional information and/or supporting documentation at a later date. If this occurs, you will be notified separately of the request and what is required.

Revisions must be received in the same format as the original file in accordance with the Electronic Claims Filing Guidelines for the case. The Electronic Claims Filing Guidelines and Template are attached to this email and may also be found at the case website www.NeptuneSecuritiesSettlement.com.

If you believe your Claim has been rejected in error, you may request Court review of the determination. To do so, you must - within twenty (20) days of the date of this notice - send us a signed written statement that (a) states your reasons for contesting the rejection of your Claim, along with any supporting documentation, and (b) specifically states that you **request that the Court review the rejection of this Claim**. If the dispute concerning your Claim cannot be otherwise resolved, your Claim will be presented to the Court for review.

All responses should be sent to the address listed below or emailed to efiling@abdata.com. Please reference your claim number(s) in all correspondence. You may contact the Neptune Securities Settlement Helpline at (877) 254-8530 with any inquiries.

The address for mailed responses via standard mail is:

NEPTUNE SECURITIES SETTLEMENT
C/O A.B. DATA, LTD.
P.O. BOX 173073
MILWAUKEE, WI 53217

The address for mailed response via courier is:

NEPTUNE SECURITIES SETTLEMENT
C/O A.B. DATA, LTD.
3410 WEST HOPKINS STREET
MILWAUKEE, WI 53216

Regards,

Claims Administrator

EXHIBIT C

Filer Claim Number	Claim Number	Name1	Account ID	Duplicate Claim Number	Recognized Claim Amount	Claim Status	Deficient Flags
252652291	77858514	XXXXXXXX	XXXXXXXX		0	Full Rejection	NOLOS
252652291	77858515	XXXXXXXX	XXXXXXXX		143	Accepted	
252652291	77858516	XXXXXXXX	XXXXXXXX		0	Full Rejection	NOLOS
252652291	77858517	XXXXXXXX	XXXXXXXX		3870	Accepted	
252652291	77858518	XXXXXXXX	XXXXXXXX		0	Full Rejection	NOLOS
252652291	77858519	XXXXXXXX	XXXXXXXX		322.5	Accepted	
252652291	77858520	XXXXXXXX	XXXXXXXX		0	Full Rejection	NOLOS

EXHIBIT D

EXHIBIT D VALID AND TIMELY CLAIMS

Exhibit Summary - Total Claims: 1,290 - Total Recognized Claim: \$27,160,901.40

Claim #	Recognized Claim	Claim #	Recognized Claim	Claim #	Recognized Claim	Claim #	Recognized Claim	Claim #	Recognized Claim
253774928	\$433.44	253774982	\$160.50	253775033	\$15.60	253775091	\$78.00		
253774929	\$96,381.42	253774983	\$2,706.18	253775034	\$2,178.00	253775092	\$66.00		
218734650	\$193.50	77847472	\$14,380.80	253775036	\$87.78	253775093	\$54,900.00		
253774930	\$114.27	218734564	\$57,765.45	253775037	\$151.00	253775094	\$22.00		
77972717	\$56,240.00	218734565	\$69,362.00	253775038	\$32.25	253775095	\$6.45		
77972718	\$175,365.18	253774985	\$46.00	253775039	\$214.50	253775096	\$440.00		
77972719	\$82,560.00	253774988	\$10.32	253775040	\$1,132.50	253775097	\$58.39		
253774931	\$1,100.00	253774989	\$110.00	253775041	\$17.60	253775098	\$132.00		
253774932	\$840.00	253774990	\$387.00	253775042	\$44.00	253775100	\$10.32		
253774933	\$461.82	77817561	\$739.37	253775043	\$129.87	253775101	\$0.44		
77730470	\$2,029.65	77817566	\$556.65	253775044	\$4,685.00	253775102	\$64.50		
218734560	\$440.00	218734704	\$110.00	253775047	\$1,510.00	253775105	\$322.50		
253774935	\$105,888.00	253774996	\$5,720.00	253775049	\$2.58	253775107	\$110.94		
77856716	\$241,938.90	253774997	\$11,555.33	253775050	\$2,200.00	253775108	\$23.60		
218734561	\$4,171.86	253774998	\$17,673.00	253775052	\$71.72	253775110	\$645.00		
253774937	\$99.33	253774999	\$1,581.00	253775053	\$1,290.00	253775112	\$2.58		
253774938	\$34.83	253775000	\$711.00	253775056	\$73.53	253775114	\$3.08		
77759617	\$2,730,727.91	253775002	\$322.50	253775057	\$7,550.00	253775116	\$258.00		
253774941	\$2,580.00	253775003	\$1,290.00	253775059	\$216.00	253775119	\$3,664.05		
253774942	\$2,451.00	77843089	\$434,626.06	253775060	\$385.00	253775120	\$39.00		
253774944	\$2,210.00	77843090	\$434,626.06	253775061	\$1,880.00	253775123	\$2,810.00		
253774950	\$2.64	218734566	\$14,600.00	253775062	\$677.96	253775124	\$398.61		
253774951	\$187.88	253775004	\$2,838.00	253775063	\$78.00	253775125	\$0.44		
253774953	\$9,862.50	253775005	\$9,960.00	253775065	\$66.00	253775127	\$58.50		
253774956	\$94.38	77893025	\$13,983.60	253775066	\$22.00	253775128	\$43.86		
253774957	\$120,644.00	77893027	\$2,257.50	253775067	\$437.80	253775129	\$7.74		
253774958	\$1,210.00	252652354	\$237.00	253775069	\$51.60	253775130	\$91.59		
253774959	\$1,290.00	253775007	\$110.21	253775071	\$2,184.00	253775133	\$25.80		
77736654	\$3,026,928.94	253775008	\$45.15	253775072	\$2,200.00	253775134	\$220.00		
253774961	\$117.00	253775010	\$645.00	253775073	\$16.77	253775135	\$31.24		
253774962	\$44.00	253775011	\$26.40	253775074	\$5,100.00	253775136	\$36.12		
253774963	\$264.00	253775013	\$214.00	253775075	\$483.75	253775137	\$630.00		
253774966	\$825.00	253775014	\$59.34	253775076	\$1,320.00	253775138	\$208.98		
253774967	\$277.35	253775015	\$38.70	253775077	\$440.00	253775139	\$0.22		
253774969	\$2,036.91	253775016	\$12.60	253775078	\$794.64	253775140	\$780.00		
253774970	\$1,100.00	253775017	\$2.14	253775079	\$11.00	253775141	\$39.00		
218734563	\$2,380.00	253775019	\$1,650.00	253775080	\$9.03	253775143	\$36.12		
253774972	\$3,681.99	253775020	\$37.41	253775081	\$407.00	253775144	\$105.76		
253774973	\$4,400.00	253775023	\$3.08	253775085	\$2,410.40	253775145	\$14.09		
253774974	\$110.00	253775024	\$440.00	253775088	\$107.00	253775146	\$3.93		
253774976	\$208.98	253775026	\$16,363.69	253775086	\$28.00	253775148	\$1,573.00		
253774978	\$33.00	253775029	\$918.00	253775087	\$2.09	253775150	\$214.00		
253774980	\$110.00	253775030	\$144.48	253775088	\$1,290.00	253775151	\$104.50		
77760273	\$1,022,755.04	253775032	\$13.19	253775089	\$6.60	253775152	\$44.00		

EXHIBIT D VALID AND TIMELY CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
253775153	\$1,246.03	253775213	\$64.50	253775275	\$12.90	253775339	\$66.00
253775156	\$17.00	253775214	\$2,101.84	253775276	\$26.75	253775340	\$0.62
253775157	\$381.00	253775215	\$1,760.00	253775277	\$0.36	253775341	\$14,996.30
253775158	\$1,202.50	253775218	\$22.00	253775278	\$12.90	253775342	\$36.12
253775159	\$64.50	253775219	\$110.00	253775280	\$252.84	77849869	\$44.00
253775160	\$227.70	253775221	\$1.10	253775282	\$696.60	77853456	\$614.18
253775161	\$44.00	253775222	\$1,290.00	253775283	\$696.60	77853458	\$412.77
253775162	\$14.19	253775224	\$2,140.00	253775284	\$3,870.00	77853468	\$1,232.36
253775165	\$535.00	253775224	\$3.90	253775285	\$870.00	77853469	\$289.12
253775166	\$64.50	253775226	\$37.40	253775286	\$516.00	77853471	\$9,674.00
253775167	\$731.00	253775227	\$617.00	253775288	\$1,009.01	77853472	\$1,133.50
253775168	\$129.00	253775228	\$1,364.00	253775289	\$84.00	77853473	\$237.60
253775169	\$16.50	253775230	\$3,225.00	253775290	\$362.50	77853477	\$35,862.00
253775170	\$3.87	253775231	\$6,010.84	253775291	\$17.16	77853480	\$27,000.00
253775173	\$412.80	253775232	\$602.43	253775292	\$60.63	77853482	\$79,180.00
253775174	\$161.25	253775235	\$3,222.56	253775294	\$2,638.68	253775343	\$13,132.78
253775175	\$33.00	253775236	\$129.00	253775295	\$189.83	253775344	\$11.61
253775176	\$129.00	253775238	\$704.00	253775298	\$66.00	253775345	\$90.00
253775177	\$774.00	253775239	\$516.00	253775300	\$2.58	253775347	\$33.94
253775179	\$129.00	253775240	\$1,806.00	253775301	\$396.00	253775348	\$880.00
253775180	\$5.06	253775241	\$440.00	253775302	\$2,596.50	253775349	\$39.00
253775181	\$16.50	253775242	\$5,107.10	253775303	\$11.00	253775350	\$341.85
253775184	\$1.03	253775246	\$5,160.00	253775304	\$258.00	253775351	\$32.25
253775185	\$39.00	253775247	\$10.51	253775305	\$258.00	253775352	\$10.32
253775186	\$290.25	253775248	\$398.61	253775306	\$23.40	253775353	\$35,704.46
253775187	\$4.40	253775249	\$516.00	253775307	\$2,193.00	253775354	\$21.40
253775188	\$660.00	253775250	\$64.50	253775308	\$24,510.00	253775356	\$90.30
253775189	\$110.00	253775251	\$516.00	253775309	\$11.00	253775358	\$284.07
253775190	\$36.12	253775252	\$258.00	253775312	\$6.45	253775359	\$889.90
253775191	\$25.80	253775253	\$492.00	253775313	\$47.30	253775360	\$72.30
253775192	\$13.86	253775255	\$487.88	253775314	\$7.80	253775361	\$61.52
253775195	\$1,240.00	253775256	\$645.00	253775315	\$28.16	253775362	\$32.09
253775196	\$27.09	253775257	\$2.58	253775316	\$1,935.00	253775363	\$51.54
253775198	\$86.00	253775258	\$715.00	253775318	\$23.22	253775364	\$89.00
253775199	\$42.57	253775259	\$467,973.71	253775319	\$539.00	253775365	\$6.45
253775200	\$45.56	253775260	\$2,860.00	253775320	\$504.00	253775367	\$880.00
253775201	\$8.80	253775261	\$645.00	253775321	\$774.00	253775368	\$1.07
253775202	\$0.44	253775262	\$190.92	253775322	\$77.00	253775369	\$390.00
253775204	\$129.00	253775263	\$6.33	253775324	\$1.29	253775370	\$170.00
253775205	\$462.00	253775264	\$19.35	253775326	\$11.00	253775371	\$2.58
253775207	\$116.10	253775268	\$31.59	253775327	\$51.60	253775372	\$1,677.00
253775208	\$24.51	253775269	\$27.50	253775330	\$21.40	253775373	\$7.81
253775209	\$129.00	253775270	\$4,951.42	253775331	\$22.00	253775375	\$345.72
253775210	\$528.00	253775271	\$46.20	253775333	\$193.05	253775376	\$15.08
253775211	\$3,740.00	253775272	\$3,190.00	253775334	\$44,772.00	253775377	\$1.54
253775212	\$3,225.00	253775274	\$1.98	253775336	\$310.50	253775378	\$3,900.00

EXHIBIT D VALID AND TIMELY CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
253775379	\$99.00	253775434	\$49.02	253775498	\$9.65	253775550	\$1,070.00
253775382	\$637.15	253775436	\$2,457	253775499	\$171.57	253775552	\$172.48
253775383	\$193.50	253775437	\$2,288.00	253775500	\$41.94	253775553	\$10.70
253775387	\$258.00	253775438	\$103.20	253775501	\$0.44	253775554	\$54.18
253775388	\$6.88	253775439	\$22.00	253775502	\$1,913.34	253775555	\$64.50
253775389	\$3.69	253775440	\$129.00	253775503	\$44.32	77859258	\$303,000.00
253775390	\$13.27	253775441	\$283.80	253775504	\$11.66	77859259	\$473,878.05
253775391	\$45,150.00	253775442	\$2.73	77853435	\$398.39	77859260	\$66,000.00
253775392	\$645.00	253775443	\$0.39	77853436	\$10,820.46	252652286	\$417,492.40
253775394	\$9.68	253775444	\$30.14	77853446	\$2,124.00	253775557	\$190.00
253775395	\$698.40	253775446	\$1,024.80	218734579	\$3.87	253775558	\$86.43
253775397	\$1,935.00	253775447	\$1.32	218734580	\$10.32	253775559	\$291.00
253775399	\$220.00	253775450	\$6,450.00	218734661	\$665.85	253775560	\$1,290.00
253775400	\$110.00	253775451	\$44.00	253775505	\$2,338.77	253775561	\$283.80
253775401	\$113.52	253775453	\$15.48	253775506	\$132.00	253775562	\$826.89
253775403	\$61.00	253775456	\$32.37	253775508	\$77.40	253775563	\$43.20
253775404	\$1,580.25	253775458	\$129.00	253775509	\$780.00	253775564	\$72.79
253775405	\$8,910.00	253775459	\$193.50	253775512	\$28.86	253775566	\$16.77
253775406	\$126.77	253775460	\$1,290.00	253775513	\$2,903.79	253775567	\$4,860.00
253775407	\$9.03	253775461	\$6.16	253775515	\$3.87	253775568	\$1,110.00
253775410	\$64.50	253775462	\$32.25	253775516	\$3,870.00	253775569	\$258.00
253775411	\$1,419.00	253775463	\$1.10	253775518	\$117.83	253775572	\$61,674.00
253775412	\$129.00	253775464	\$15,916.44	253775519	\$444.00	253775573	\$220.44
253775413	\$550.00	253775466	\$13.05	253775521	\$3.87	253775575	\$11,152.00
253775414	\$26.75	253775467	\$755.00	253775522	\$22.00	253775576	\$90.30
253775415	\$3,608.00	253775470	\$71.94	253775523	\$66.00	253775577	\$30.25
253775416	\$440.00	253775471	\$2,322.00	253775524	\$18.53	253775581	\$66.00
253775417	\$2.20	253775473	\$0.88	253775525	\$1,032.00	253775582	\$44.00
253775421	\$258.00	253775474	\$0.06	253775526	\$1,934.50	253775583	\$46.92
253775423	\$5,511.30	253775477	\$645.00	253775529	\$1,290.00	253775584	\$4,386.00
253775427	\$5.35	253775478	\$11,564.85	253775530	\$1.29	253775585	\$507.62
253775428	\$0.17	253775481	\$2.20	253775531	\$38.70	253775586	\$1,621.64
253775429	\$6,450.00	253775482	\$5.35	253775532	\$22.00	253775587	\$209.00
253775430	\$10.32	253775488	\$53.50	253775533	\$6.60	253775589	\$220.00
77849879	\$920.62	253775489	\$66.00	253775534	\$142.50	253775590	\$802.50
77849882	\$125.86	253775491	\$5.50	253775535	\$2,580.00	253775592	\$645.00
77849886	\$6,151.38	253775492	\$842.60	253775538	\$83.51	253775593	\$18.06
77849895	\$85.00	253775493	\$2.20	253775539	\$516.00	253775594	\$2,121.00
218734567	\$2,640.00	253775494	\$36.12	253775540	\$103.20	253775595	\$32,159.87
218734568	\$550.00	253775497	\$2,275.60	253775542	\$14.19	253775596	\$22.00
218734570	\$419.25	253775498	\$38.70	253775543	\$787.68	253775597	\$3.87
218734572	\$220.00	253775499	\$129.00	253775544	\$30.80	253775599	\$25.80
218734575	\$88.00	253775492	\$97.65	253775545	\$928.80	253775600	\$440.00
218734577	\$17.00	253775494	\$211.00	253775547	\$64.50	253775601	\$880.00
218734578	\$264.00	253775495	\$3.08	253775548	\$187.00	253775602	\$6.20
253775433	\$1.10	253775497	\$0.22	253775549	\$7,111.77	253775603	\$1,290.00

EXHIBIT D VALID AND TIMELY CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
253775604	\$17,120.00	218734676	\$4,945.28	218734659	\$2,170.00	253775605	\$365.40
253775607	\$0.44	252652292	\$665.85	218734660	\$302.50	253775610	\$905.96
77856480	\$645.00	252652297	\$5,710.00	253775724	\$17,544.00	253775611	\$77.00
218734622	\$77.00	252652338	\$3,308.50	253775725	\$2.70	218734632	\$440.00
218734632	\$77.00	252652339	\$25,800.00	253775726	\$1,290.00	218734649	\$2,200.00
218734681	\$440.00	253775681	\$880.00	253775727	\$268.18	253775682	\$14,653.59
218734681	\$440.00	253775683	\$383.00	253775728	\$188.93	253775683	\$0.88
253775611	\$26.75	253775684	\$2,064.00	253775729	\$44.00	253775684	\$2.82
253775612	\$11.66	253775685	\$1,290.00	253775730	\$1,935.00	253775685	\$5,482.50
253775613	\$258.00	253775687	\$11.66	253775731	\$1.29	253775686	\$26.75
253775614	\$3,074.06	253775688	\$11,272.80	253775732	\$2,580.00	253775687	\$11.66
253775616	\$232.20	253775690	\$506.00	253775733	\$440.00	253775688	\$2.82
253775618	\$6.46	253775694	\$14,205.00	253775735	\$330.00	253775689	\$26.75
253775619	\$5.02	253775695	\$7,560.00	253775736	\$330.00	253775690	\$11.66
253775622	\$774.00	253775696	\$1,386.00	253775738	\$10,024.59	253775691	\$258.00
253775624	\$129.00	253775697	\$9.68	253775741	\$250.80	253775692	\$3,074.06
253775626	\$88.00	253775698	\$455,279.00	253775742	\$129.00	253775693	\$232.20
253775627	\$39.78	253775700	\$143.37	253775743	\$1,935.00	253775694	\$6.46
253775629	\$77.00	253775701	\$166.00	253775744	\$11,000.00	253775695	\$5.02
253775631	\$13,910.00	253775702	\$15,996.00	253775746	\$449.85	253775696	\$774.00
253775632	\$6.82	253775703	\$5,238.00	253775747	\$2,040.00	253775697	\$129.00
253775633	\$1.10	253775704	\$2.58	253775749	\$3,190.00	253775698	\$88.00
253775634	\$838.50	253775705	\$689.00	253775751	\$583,489.70	253775699	\$39.78
253775635	\$12.90	253775706	\$1,100.00	253775752	\$552,975.89	253775700	\$77.00
218734628	\$387.00	253775707	\$33.00	253775753	\$296,321.11	253775701	\$13,910.00
253775636	\$55.47	253775708	\$31.00	253775755	\$265,149.90	253775702	\$6.82
253775637	\$1,290.00	253775709	\$64.50	253775756	\$2,451.00	253775703	\$1.10
253775638	\$258.00	253775710	\$9.24	253775757	\$0.82	253775704	\$838.50
218734628	\$387.00	253775711	\$22.00	253775758	\$214.00	253775705	\$12.90
253775640	\$2,580.00	253775712	\$38.62	253775759	\$850.00	253775706	\$12.90
253775642	\$2,871.68	253775713	\$258.00	253775760	\$5,350.00	253775707	\$387.00
253775643	\$440.00	253775714	\$123.84	253775761	\$495.00	253775708	\$55.47
253775644	\$94.10	253775715	\$37.54	253775762	\$97.50	253775709	\$1,290.00
253775645	\$58.05	253775716	\$1,540.00	253775763	\$97.50	253775710	\$258.00
253775646	\$67.80	253775717	\$51.60	253775764	\$2,200.00	253775711	\$440.00
253775647	\$54,652.30	253775718	\$195.36	253775765	\$2.42	253775712	\$440.00
253775648	\$112,489.00	253775719	\$15.48	253775766	\$240.00	253775713	\$94.10
253775650	\$130.29	253775722	\$423.12	253775767	\$117.00	253775645	\$58.05
253775651	\$68.64	253775723	\$78,117.60	253775768	\$645.00	253775646	\$67.80
253775655	\$770.00	77858814	\$1,322.00	77858962	\$45,925.22	253775647	\$54,652.30
253775656	\$64.50	77858816	\$1,727.00	77858963	\$15,427.11	253775648	\$112,489.00
253775657	\$4.40	77858817	\$506.00	77858968	\$550.00	253775649	\$130.29
		77858820	\$388,500.00	77858970	\$396.00	253775650	\$68.64
		77858822	\$967.50	77858972	\$15.40	253775651	\$770.00
		77858929	\$57,157.26	77858973	\$733.26	253775655	\$64.50
		218734637	\$64.50	77858975	\$1,032.00	253775656	\$64.50
						253775657	\$4.40

EXHIBIT D VALID AND TIMELY CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
77858976	\$220.00	77859265	\$645.00	77859339	\$12.90	77866947	\$21,900.00
77858977	\$64.02	77859318	\$630.00	77859342	\$561.00	77866948	\$23,797.00
77858978	\$220.00	77859322	\$1,290.00	77859345	\$2,030.67	77866949	\$110.00
77858979	\$220.00	77859332	\$137.50	77859348	\$619.20	77866950	\$6,127.50
77858981	\$48.40	77859335	\$268.96	77859353	\$1,100.00	77866954	\$3,023.90
77858983	\$308.00	77859337	\$29,671.29	77859356	\$107.00	77866955	\$129.00
77858984	\$1,610.00	77859342	\$12.90	77859357	\$7,400.00	77866956	\$3,741.00
218734601	\$645.00	77859342	\$561.00	77859365	\$54,280.67	77866957	\$232,000.00
218734602	\$85.14	77859345	\$2,030.67	77859367	\$36.77	77866958	\$755.00
218734603	\$129.00	77859348	\$619.20	77859368	\$1,404.50	77866959	\$1,290.00
218734605	\$49.02	77859353	\$1,100.00	77859370	\$776.10	77866960	\$12,900.00
218734606	\$141.90	77859356	\$107.00	77859371	\$742.06	77866962	\$32.25
218734607	\$67.30	77859357	\$7,400.00	77859372	\$742.06	77866966	\$756.00
218734608	\$36.77	77859365	\$54,280.67	77859374	\$56.91	77866967	\$6,450.00
218734611	\$4,761.40	77859367	\$36.77	77859376	\$129.00	77866969	\$6,450.00
218734612	\$1,290.00	77859368	\$1,404.50	77859377	\$173.14	77866970	\$645.00
218734613	\$225.75	77859369	\$3,733.00	77860658	\$1,808.50	77866974	\$900.00
218734614	\$188.34	77859370	\$776.10	77860660	\$36.77	77866975	\$900.00
218734615	\$23,002.81	77859371	\$742.06	77860664	\$1,032.00	77866976	\$4,500.00
218734616	\$540.00	77859372	\$742.06	77860909	\$2,460.00	77866977	\$9,000.00
218734617	\$880.00	77859374	\$56.91	77860912	\$742.06	77866978	\$4,500.00
218734618	\$1,773.75	77859376	\$129.00	77860913	\$0.05	77866979	\$1,800.00
218734619	\$61,375.62	77859377	\$173.14	77860914	\$110.00	77866980	\$1,800.00
218734638	\$161.25	77860658	\$1,808.50	77860915	\$129.00	77866981	\$900.00
218734653	\$647.58	77860660	\$36.77	77860917	\$21,027.00	77866982	\$12,771.00
218734669	\$767.36	77860664	\$1,032.00	77860918	\$25.80	77866985	\$2,220.09
253775770	\$45.00	77860909	\$2,460.00	77860922	\$18,315.00	77866987	\$220.00
253775772	\$107.00	77860912	\$742.06	77860923	\$36.12	77866988	\$2,150.70
253775773	\$117.00	77860913	\$0.05	77860923	\$36.12	77866989	\$4,574.34
253775774	\$2,982.00	77860914	\$110.00	77860923	\$36.12	77866990	\$2,903.00
253775775	\$54.68	77860915	\$129.00	77860923	\$36.12	77866991	\$321.00
253775776	\$648.63	77860917	\$21,027.00	77860923	\$36.12	77866992	\$645.00
253775777	\$220.00	77860918	\$25.80	77860923	\$36.12	77867003	\$258.00
253775778	\$6,256.50	77860922	\$18,315.00	77860923	\$36.12	77867005	\$6,450.00
253775779	\$129.00	77860923	\$36.12	77860923	\$36.12	77867009	\$133.75
253775782	\$22,334.30	77865003	\$10.24	77865010	\$13,707.00	77867010	\$3,870.00
253775783	\$2,675.00	77865010	\$13,707.00	77865011	\$13,090.72	77867011	\$52.89
253775784	\$737.88	77865011	\$13,090.72	77866933	\$45.15	77867014	\$29,836.00
253775785	\$6.45	77866933	\$45.15	77866934	\$14,526.69	77867015	\$535.00
253775787	\$14.63	77866934	\$14,526.69	77866937	\$9,308.89	77867016	\$440.00
253775788	\$1,926,000.00	77866937	\$9,308.89	77866941	\$0.88	77867017	\$5,600.00
253775789	\$250.80	77866941	\$0.88	77866942	\$1,290.00	77867018	\$11,204.00
253775790	\$96.75	77866942	\$1,290.00	77866943	\$1,379.90	77867020	\$387.00
253775793	\$1.29	77866943	\$1,379.90	77866944	\$450.00		
253775794	\$0.22	77866944	\$450.00	77866945	\$216.26		
253775795	\$38,387.46	77866945	\$216.26				

EXHIBIT D VALID AND TIMELY CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
77867023	\$440.00	77867108	\$20.33	77867200	\$9,030.00	77867247	\$5,160.00
77867024	\$440.00	77867118	\$42.57	77867201	\$3,870.00	77867248	\$10,320.00
77867026	\$26.75	77867121	\$614.07	77867202	\$5,160.00	77867249	\$4,515.00
77867028	\$21.40	77867123	\$5,115.65	77867203	\$32,541.00	77867250	\$5,160.00
77867031	\$880.00	77867124	\$1,654.35	77867204	\$74,915.00	77867251	\$12,900.00
77867034	\$13,877.90	77867125	\$64.50	77867205	\$12,900.00	77867252	\$11,746.00
77867037	\$11,897.70	77867127	\$1,290.00	77867206	\$12,900.00	77867253	\$7,740.00
77867039	\$1,414.60	77867128	\$156.36	77867207	\$6,450.00	77867254	\$3,870.00
77867040	\$507.10	77867130	\$2,059.40	77867208	\$10,320.00	77867255	\$12,599.00
77867041	\$220.00	77867139	\$66.00	77867209	\$6,450.00	77867256	\$9,030.00
77867042	\$8,200.00	77867140	\$297.00	77867210	\$2,580.00	77867257	\$10,449.00
77867043	\$7,965.00	77867141	\$2,174.40	77867211	\$6,450.00	77867258	\$12,255.00
77867045	\$12,900.00	77867142	\$1,733.60	77867212	\$9,030.00	77867259	\$3,870.00
77867046	\$645.00	77867143	\$32,250.00	77867213	\$2,902.50	77867260	\$12,900.00
77867047	\$440.00	77867144	\$258,000.00	77867214	\$4,773.00	77867261	\$28,320.00
77867048	\$321.00	77867145	\$12,900.00	77867215	\$3,870.00	77867262	\$6,450.00
77867050	\$1,605.00	77867148	\$770.00	77867216	\$10,650.00	77867263	\$7,740.00
77867053	\$1,290.00	77867150	\$286.38	77867217	\$8,385.00	77867264	\$6,300.00
77867056	\$129.00	77867151	\$50.60	77867218	\$3,870.00	77867265	\$13,004.00
77867057	\$245.10	77867152	\$550.00	77867219	\$6,087.00	77867266	\$28,822.40
77867058	\$3,210.00	77867154	\$1,815.00	77867220	\$4,221.36	77867267	\$12,600.00
77867059	\$7,490.00	77867155	\$645.00	77867221	\$3,225.00	77867268	\$6,450.00
77867060	\$5,160.00	77867156	\$675.00	77867222	\$17,482.00	77867269	\$440.00
77867061	\$1,227.30	77867158	\$330.00	77867223	\$12,900.00	77867270	\$2,675.00
77867062	\$2,748.30	77867160	\$129.00	77867224	\$12,900.00	77867271	\$6,450.00
77867064	\$2,200.00	77867165	\$13,963.00	77867225	\$5,160.00	77867272	\$25,800.00
77867067	\$3,713.91	77867169	\$29,550.00	77867226	\$10,707.00	77867273	\$21,400.00
77867075	\$6,450.00	77867170	\$16,050.00	77867227	\$38,700.00	77867274	\$6,450.00
77867076	\$7,869.00	77867171	\$21,930.00	77867228	\$129,000.00	77867275	\$220.00
77867079	\$129.00	77867172	\$3,210.00	77867229	\$23,220.00	77867276	\$44.43
77867080	\$830.00	77867173	\$5,350.00	77867230	\$6,450.00	77867277	\$193.00
77867081	\$1,290.00	77867174	\$24,510.00	77867231	\$4,754.42	77867280	\$6,192.00
77867082	\$201.24	77867175	\$22,257.27	77867233	\$220.00	77867281	\$2,140.00
77867083	\$258.00	77867176	\$297.00	77867234	\$4,917.00	77867282	\$1,290.00
77867086	\$303,192.00	77867177	\$1,070.00	77867235	\$7,740.00	77867287	\$174.15
77867087	\$387.00	77867178	\$2,902.50	77867236	\$9,928.00	77867288	\$3,547.50
77867090	\$1,103,988.15	77867185	\$1,161.00	77867237	\$9,030.00	77867290	\$6,259.60
77867093	\$745.50	77867186	\$536.07	77867238	\$5,160.00	77867295	\$182,500.00
77867094	\$96,490.00	77867187	\$7.70	77867239	\$4,257.00	77867296	\$2,299.80
77867098	\$774.00	77867188	\$59.40	77867240	\$11,094.00	77867297	\$55,857.00
77867101	\$25.80	77867193	\$23,014.00	77867241	\$12,900.00	77867298	\$2,580.00
77867102	\$81.50	77867194	\$1,100.00	77867242	\$5,160.00	77867299	\$1,290.00
77867103	\$64.50	77867195	\$645.00	77867243	\$12,640.00	77867301	\$1,935.00
77867105	\$59.96	77867196	\$66.55	77867244	\$2,237.20	77867302	\$1,290.00
77867106	\$30.60	77867197	\$606.85	77867245	\$6,450.00	77867303	\$1,290.00
77867107	\$88.00	77867199	\$119.97	77867246	\$10,320.00	77867304	\$1,290.00

EXHIBIT D VALID AND TIMELY CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
77867305	\$208.98	77860930	\$25,223.22	77892958	\$163,631.58	78847140	\$107.00
77867306	\$1,935.00	77892893	\$820.72	77892959	\$72.60	78847141	\$14,151.10
77867694	\$59,938.28	77892894	\$387.00	77892960	\$2,344.16	78847142	\$3,297.89
218734657	\$226,045.00	77892897	\$6,955.00	77892961	\$100,464.00	78847143	\$6,799.06
218734658	\$51,886.56	77892900	\$1,605.00	77892962	\$2,461.00	78847144	\$14,190.00
218734663	\$22,334.30	77892902	\$1,070.00	77892965	\$1,294.70	78847145	\$271.60
218734664	\$1,019.00	77892903	\$3,965.53	77892967	\$900.00	78847146	\$7,680.53
218734666	\$18,800.00	77892905	\$8,560.00	77892969	\$208.00	78847147	\$129.00
218734668	\$645.00	77892906	\$749.00	77892970	\$805.71	78847148	\$870.75
218734670	\$645.00	77892908	\$234.00	77892971	\$749.00	78847149	\$220.00
218734683	\$11,610.00	77892909	\$3,210.00	77892972	\$2,808.75	78847150	\$3,569.52
218734684	\$6,450.00	77892910	\$1,123.50	77892975	\$586.04	78847151	\$10,047.30
218734685	\$3,870.00	77892911	\$2,140.00	77974887	\$167,583.17	78847152	\$2,602.24
218734688	\$433.40	77892912	\$6,955.00	218734662	\$535.00	78847153	\$63,378.24
252652304	\$2,925.00	77892914	\$107.00	218734662	\$535.00	78847153	\$63,378.24
253775841	\$580.50	77892915	\$13,567.60	218734674	\$2,091.85	78847154	\$21,006.24
253775843	\$202.56	77892916	\$1,483.50	218734677	\$1,054.90	78847155	\$15,323.47
253775844	\$60.50	77892918	\$604.57	218734678	\$1,070.00	78847156	\$11,628.76
253775846	\$405.29	77892919	\$6,955.00	218734679	\$147.06	78847157	\$63,378.24
253775847	\$260.80	77892920	\$7,111.50	218734689	\$1,669,033.37	78847158	\$21,006.24
253775848	\$660.00	77892921	\$8,480.10	253775881	\$19.91	78847159	\$10,047.30
253775849	\$151.00	77892922	\$116.38	253775882	\$1,935.00	78847160	\$2,602.24
253775850	\$3,870.00	77892923	\$3,210.00	253775884	\$60.63	78847161	\$15,323.47
253775851	\$3.91	77892924	\$26,750.00	253775885	\$660.00	78847162	\$11,628.76
253775852	\$5,960.89	77892925	\$6,955.00	253775886	\$132,000.00	78847163	\$9,508.02
253775853	\$2,115.28	77892929	\$17,120.00	253775887	\$2,580.00	78847164	\$1,071.07
253775854	\$94.38	77892930	\$4,708.50	253775888	\$27.50	78847165	\$6,531.28
253775857	\$387.00	77892932	\$428.00	253775889	\$440.00	78847166	\$6,238.10
253775858	\$227.04	77892934	\$2,889.00	253775891	\$2.58	78847167	\$28,375.33
253775859	\$64.50	77892935	\$3,210.00	253775892	\$4,500.00	78847168	\$1,761.22
253775861	\$44.00	77892936	\$711.23	253775893	\$38.70	78847169	\$6,531.28
253775862	\$25.80	77892938	\$339.49	253775895	\$1,448.08	78847170	\$6,238.10
253775863	\$2,140.00	77892939	\$145.52	253775897	\$129.00	78847171	\$1,761.22
253775865	\$101.82	77892940	\$1,070.00	253775901	\$1,650.00	78847172	\$28,375.33
253775867	\$2,967.00	77892943	\$4,280.00	253775902	\$64.50	78847173	\$3,789.94
253775871	\$19,350.00	77892944	\$883.58	253775903	\$0.34	78847174	\$31,144.51
253775872	\$148.76	77892946	\$6,140.20	253775904	\$517.44	253775923	\$645.00
253775873	\$492.30	77892947	\$8,560.00	253775905	\$33.17	253775924	\$13,200.00
253775874	\$768.69	77892948	\$93,305.55	253775906	\$11.00	253775925	\$18,300.00
253775876	\$220.00	77892951	\$170.13	253775908	\$4,299.57	253775928	\$27,300.00
253775877	\$1,510.00	77892952	\$856.00	253775910	\$109.78	253775929	\$308.00
253775878	\$440.00	77892953	\$1,575.00	253775911	\$95.46	253775930	\$274.88
253775879	\$214.00	77892954	\$749.00	253775915	\$296,510.57	253775931	\$6,110.50
253775880	\$4,439.00	77892955	\$8,560.00	253775918	\$1,558.37	253775932	\$64.50
77860928	\$3,995.20	77892956	\$2,140.00	253775919	\$0.81	253775933	\$129.00
77860929	\$1,512.28	77892957	\$1,605.00	77973539	\$21,291.60	253775934	\$5.50
				78785516	\$2,200.00	253775935	\$6,851.38

EXHIBIT D VALID AND TIMELY CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
253775937	\$14.19	253775940	\$40.85	253775944	\$1,702.00	253775947	\$129.00
253775938	\$154.00	253775942	\$195.00	253775945	\$2,640.00		
253775939	\$1,868.12	253775943	\$8.80	253775946	\$20,012.50		

EXHIBIT E

EXHIBIT E LATE BUT OTHERWISE ELIGIBLE CLAIMS

Exhibit Summary - Total Claims: 666 - Total Recognized Claim: \$1,552,549.05

Claim #	Recognized Claim	Claim #	Recognized Claim	Claim #	Recognized Claim	Claim #	Recognized Claim	Claim #	Recognized Claim	Claim #	Recognized Claim
77868340	\$1,026.84	253776001	\$0.22	253776075	\$10.90	253776136	\$1.10	253776171	\$2.20	253776181	\$0.44
77868341	\$9,543.42	253776002	\$99,089.75	253776076	\$100.00	253776137	\$0.44	253776172	\$5.28	253776182	\$2.20
77868342	\$96,750.00	253776003	\$2,580.00	253776077	\$13.95	253776138	\$11.00	253776173	\$6.45	253776183	\$23.10
218734680	\$60,333.34	253776004	\$286.00	253776079	\$47.08	253776141	\$74.25	253776175	\$3.21	253776184	\$0.44
253775948	\$516.00	253776005	\$9.03	253776080	\$129.00	253776143	\$7.74	253776176	\$12,840.00	253776185	\$843.00
253775949	\$870.54	253776008	\$6.54	253776081	\$336.55	253776145	\$12.90	253776177	\$0.44	253776187	\$51.60
253775950	\$605.00	253776010	\$6.60	253776082	\$129.00	253776146	\$12.90	253776178	\$1,437.54	253776188	\$226.00
253775951	\$4.40	253776012	\$1,290.00	253776084	\$11.61	253776147	\$110.00	253776179	\$2.20	253776189	\$4.72
253775952	\$954.60	253776013	\$165.00	253776086	\$3.08	253776149	\$212.96	253776181	\$0.44	253776192	\$5.16
253775955	\$22.00	253776014	\$51.73	253776087	\$9,543.38	253776150	\$135.74	253776182	\$23.10	253776195	\$3.87
253775956	\$9,987.40	253776016	\$4.92	253776089	\$23.22	253776152	\$12.90	253776183	\$23.10	253776196	\$65.88
253775958	\$3.50	77946901	\$6,643.50	253776091	\$33.00	253776155	\$27,477.00	253776184	\$226.00	253776197	\$6.45
253775959	\$21,531.00	77946902	\$34,754.00	253776092	\$0.22	253776157	\$13.86	253776185	\$226.00	253776201	\$3.74
253775960	\$0.22	218734693	\$3,850.00	253776094	\$283.80	253776158	\$28.60	253776186	\$226.00	253776202	\$645.00
253775961	\$340.00	252652340	\$35,000.00	253776097	\$516.00	253776159	\$110.00	253776187	\$23.10		
253775962	\$56.76	253776020	\$5.28	253776098	\$2.64	253776160	\$48.22	253776188	\$23.10		
253775963	\$129.00	253776024	\$5.10	253776099	\$6,256.50	253776161	\$7.80	253776189	\$23.10		
253775964	\$181.77	253776025	\$33.00	253776100	\$1.10	253776165	\$3,322.05	253776190	\$23.10		
253775965	\$12,640.00	253776026	\$1.84	253776101	\$516.00	253776167	\$3.21	253776191	\$23.10		
253775966	\$2,237.20	253776028	\$2.86	253776104	\$7,800.00	253776169	\$0.66	253776192	\$23.10		
253775967	\$1,290.00	253776029	\$22.00	253776105	\$1,419.00	253776170	\$19.50	253776193	\$23.10		
253775972	\$18.06	253776031	\$6.60	253776108	\$516.00	253776171	\$2.20	253776194	\$23.10		
253775973	\$18.06	253776033	\$180.60	253776109	\$95.72	253776172	\$2.20	253776195	\$23.10		
253775974	\$1,320.00	253776034	\$110.00	253776110	\$48.40	253776173	\$6.45	253776196	\$23.10		
253775975	\$490.20	253776039	\$110.00	253776111	\$34.32	253776174	\$258.00	253776197	\$23.10		
253775977	\$1,155.30	253776040	\$123.14	253776112	\$22.00	253776175	\$3.21	253776198	\$23.10		
253775978	\$260.58	253776041	\$11.00	253776114	\$8.80	253776176	\$12,840.00	253776199	\$23.10		
253775980	\$0.44	253776042	\$88.00	253776115	\$17.12	253776177	\$0.44	253776200	\$23.10		
253775981	\$5.16	253776043	\$53.40	253776117	\$1.54	253776178	\$1,437.54	253776201	\$23.10		
253775983	\$129.00	253776047	\$25.80	253776119	\$14.73	253776181	\$0.44	253776202	\$23.10		
253775984	\$9.24	253776048	\$11.00	253776120	\$5,059.78	253776182	\$226.00				
253775985	\$451.50	253776050	\$4.50	253776121	\$64.50	253776184	\$2.20				
253775986	\$14,476.00	253776053	\$12.10	253776122	\$154.80	253776185	\$2.20				
253775987	\$85.00	253776054	\$8.80	253776123	\$0.88	253776186	\$0.44				
253775988	\$2.58	253776055	\$1,290.00	253776125	\$350.36	253776187	\$843.00				
253775989	\$18.06	253776056	\$915.00	253776126	\$18.87	253776189	\$51.60				
253775991	\$44.00	253776059	\$32.25	253776128	\$5,943.96	253776191	\$4.72				
253775993	\$54.18	253776060	\$3.87	253776129	\$5.50	253776192	\$4.72				
253775994	\$444.00	253776063	\$1,440.00	253776130	\$830.00	253776195	\$3.87				
253775995	\$181.28	253776065	\$150.93	253776131	\$4.36	253776196	\$65.88				
253775996	\$357.24	253776068	\$206.36	253776132	\$2.58	253776197	\$6.45				
253775997	\$132.00	253776069	\$9.63	253776133	\$1.32	253776200	\$49.50				
253775999	\$12.90	253776070	\$2.34	253776134	\$517.00	253776201	\$3.74				
252652336	\$4,872.56	253776072	\$9,555.03	253776135	\$12.90	253776202	\$645.00				

EXHIBIT E LATE BUT OTHERWISE ELIGIBLE CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
253776203	\$6.38	253776273	\$6.60	253776347	\$34.00	253776430	\$516.00
253776204	\$15.84	253776274	\$0.66	253776348	\$4.76	253776432	\$11.00
253776206	\$17.60	253776275	\$22.00	253776349	\$19.35	253776433	\$25.30
253776207	\$27,606.00	253776276	\$3.52	253776350	\$1,815.00	253776437	\$131.58
253776209	\$990.00	253776277	\$9.10	253776351	\$25.74	253776438	\$1,290.00
253776210	\$30.96	253776278	\$0.66	253776352	\$44.00	253776439	\$12.90
253776212	\$308.00	253776279	\$4.28	253776354	\$13.20	253776440	\$64.50
253776213	\$3.54	253776280	\$25.52	253776355	\$102.08	253776441	\$7.38
253776214	\$0.22	253776281	\$372.14	253776356	\$0.66	253776442	\$91.00
253776215	\$890.40	253776283	\$8.80	253776357	\$64.50	253776443	\$14.19
253776219	\$69.20	253776285	\$16.80	253776358	\$7.77	253776446	\$0.51
253776222	\$193.50	253776286	\$11.00	253776359	\$880.00	253776447	\$55.64
253776224	\$8,658.35	253776291	\$5.50	253776361	\$44.70	253776448	\$107.00
253776225	\$12.90	253776292	\$41.64	253776363	\$17.60	253776449	\$23.20
253776227	\$110.22	253776293	\$19.10	253776366	\$4.40	253776450	\$154.00
253776228	\$5,921.10	253776294	\$0.80	253776369	\$22.00	253776451	\$0.88
253776229	\$2.86	253776296	\$0.80	253776370	\$23.98	253776452	\$3.87
253776231	\$10.32	253776297	\$1,548.00	253776372	\$47.80	253776454	\$11.00
253776232	\$129.00	253776298	\$7.64	253776374	\$7.48	253776455	\$0.22
253776233	\$12,900.00	253776300	\$11.00	253776375	\$0.44	253776456	\$32.25
253776234	\$8.80	253776302	\$3,455.80	253776376	\$1,979.00	253776457	\$0.66
253776237	\$25.80	253776303	\$33.00	253776382	\$1.54	253776459	\$12.76
253776239	\$26.40	253776308	\$70.95	253776385	\$15.40	253776462	\$20.02
253776241	\$18.06	253776309	\$113.80	253776388	\$63.91	253776463	\$6.45
253776242	\$2.25	253776311	\$2.20	253776390	\$6.45	253776466	\$39.60
253776244	\$53.40	253776312	\$390.55	253776392	\$86.91	253776467	\$525.00
253776245	\$3.68	253776313	\$62.90	253776393	\$440.00	253776469	\$12.98
253776246	\$25.80	253776314	\$47.74	253776394	\$27,149.17	253776471	\$16.50
253776248	\$2.40	253776316	\$19.21	253776395	\$84.84	253776472	\$3.52
253776249	\$440.00	253776320	\$1.10	253776396	\$2.58	253776473	\$682.78
253776250	\$165.00	253776322	\$1.29	253776397	\$32.25	253776475	\$110.00
253776253	\$15.48	253776324	\$82.50	253776400	\$4.40	253776476	\$103.20
253776254	\$2.58	253776325	\$129.00	253776401	\$154.52	253776480	\$33.00
253776256	\$176.00	253776326	\$101.20	253776402	\$838.50	253776481	\$239.00
253776257	\$22.00	253776327	\$10.32	253776405	\$121.12	253776483	\$99.61
253776258	\$0.44	253776331	\$6.12	253776407	\$0.88	253776485	\$11.66
253776259	\$63.08	253776332	\$20,107.20	253776408	\$51.60	253776486	\$23.36
253776260	\$12.90	253776333	\$2,466.40	253776409	\$0.66	253776487	\$129.00
253776263	\$16.38	253776335	\$1.54	253776410	\$21.40	253776488	\$22.00
253776264	\$2.22	253776337	\$15.10	253776412	\$278.64	253776490	\$49.40
253776265	\$66.44	253776338	\$64.50	253776413	\$11.00	253776491	\$87.00
253776266	\$151.08	253776340	\$524.30	253776414	\$44.00	253776493	\$1,548.00
253776268	\$213.40	253776342	\$322.50	253776417	\$19.35	253776494	\$9.75
253776269	\$94.28	253776343	\$129.00	253776421	\$330.00	253776495	\$55.22
253776270	\$39.60	253776344	\$972.84	253776427	\$2.86	253776498	\$6.45
253776272	\$0.22	253776345	\$6.80	253776429	\$26.40	253776499	\$107.80

EXHIBIT E LATE BUT OTHERWISE ELIGIBLE CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
253776500	\$1,100.00	253776567	\$2.58	253776630	\$95.04	253776691	\$63.14
253776501	\$11.70	253776568	\$645.00	253776631	\$22.00	253776693	\$3.90
253776502	\$225.25	253776569	\$7.74	253776632	\$1.10	253776694	\$25.80
253776503	\$428.39	253776570	\$38.13	253776633	\$8.80	253776695	\$2.20
253776504	\$112.23	253776571	\$880.00	253776634	\$2.64	253776696	\$129.00
253776513	\$3.30	253776574	\$33.00	253776635	\$6.45	253776697	\$1.02
253776514	\$0.44	253776575	\$3,932.00	253776636	\$18.92	253776698	\$129.00
253776515	\$6.60	253776576	\$258.00	253776637	\$0.22	253776699	\$356.82
253776517	\$11.44	253776578	\$4.40	253776642	\$41.28	252652328	\$66.00
253776518	\$0.13	253776579	\$440.00	253776643	\$92.75	253776700	\$8.80
253776519	\$94.17	253776580	\$5.50	253776644	\$34.32	253776701	\$330.66
253776520	\$33.44	253776582	\$79.89	253776645	\$19.35	253776702	\$4,969.00
253776521	\$761.10	253776584	\$10.82	253776647	\$20.90	253776705	\$3.74
253776522	\$660.00	253776585	\$15.18	253776649	\$2,838.37	253776706	\$176.66
253776523	\$0.88	253776586	\$19.35	253776651	\$2.64	253776707	\$2.20
253776524	\$15.08	253776589	\$1.29	253776652	\$28.16	253776710	\$102.42
253776526	\$880.00	253776590	\$1.32	253776653	\$193.50	253776711	\$129.00
253776527	\$2,294.91	253776592	\$51.80	253776654	\$388.00	253776712	\$2.64
253776528	\$54.14	253776594	\$233.00	253776655	\$1.10	253776713	\$52.80
253776529	\$11.20	253776595	\$2.58	253776656	\$2.58	253776715	\$0.07
253776530	\$4.68	253776598	\$18.80	253776657	\$195.00	253776716	\$2.58
253776531	\$7.80	253776600	\$264.00	253776658	\$8.80	253776717	\$10.12
253776532	\$45.15	253776602	\$8.58	253776659	\$67.08	253776719	\$84.00
253776533	\$0.88	253776603	\$22.00	253776660	\$308.00	253776721	\$90.30
253776534	\$5.94	253776604	\$66.00	253776661	\$28.38	253776722	\$36.38
218734696	\$57.31	253776605	\$890.12	253776663	\$0.58	218734701	\$880.00
253776536	\$37.40	253776606	\$22.00	253776665	\$6.60	253776723	\$440.00
253776537	\$220.00	218734697	\$1.29	253776666	\$3.12	253776724	\$57.86
253776540	\$2.32	253776607	\$66.00	253776667	\$1.76	253776726	\$35.64
253776541	\$0.01	253776609	\$42.50	253776670	\$3.02	253776727	\$1,290.00
253776542	\$254.10	253776610	\$144.48	253776671	\$623.00	253776729	\$5,181.27
253776544	\$25.80	253776612	\$220.00	253776674	\$1.32	77964230	\$129.00
253776545	\$28.16	253776614	\$1.07	253776676	\$10.70	218734700	\$220.00
253776546	\$32.25	253776615	\$0.54	253776677	\$220.00	253776730	\$0.11
253776547	\$17.38	253776616	\$56.76	253776678	\$1,507.00	253776731	\$226.82
253776548	\$156.00	253776617	\$66.00	253776679	\$16.50	253776732	\$129.00
253776550	\$3.87	253776619	\$6.10	253776680	\$129.00	253776733	\$11.00
253776551	\$0.88	253776620	\$6.45	253776681	\$258.00	253776734	\$3,225.00
253776554	\$11.80	253776621	\$3.87	253776682	\$30.80	253776735	\$152.25
253776555	\$25.80	253776622	\$5.16	253776683	\$1.98	253776736	\$16.50
253776557	\$112.23	253776623	\$138.60	252652327	\$308.00	253776737	\$6.45
253776558	\$211.56	253776624	\$10.32	253776685	\$5.16	253776738	\$39.16
253776560	\$22.00	253776625	\$64.50	253776686	\$45.11	253776739	\$110.00
253776561	\$13.64	253776627	\$59.34	253776688	\$52.40	253776741	\$0.66
253776562	\$12.90	253776628	\$15.84	253776689	\$5.94	253776742	\$220.00
253776565	\$0.66	253776629	\$66.22	253776690	\$65.78	253776743	\$1.29

EXHIBIT E LATE BUT OTHERWISE ELIGIBLE CLAIMS

<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>	<u>Claim #</u>	<u>Recognized Claim</u>
253776745	\$219.30	253776797	\$66.66	253776830	\$1,880.00	78785091	\$1.29
253776749	\$33.54	252652342	\$1,290.00	253776831	\$387.00	78785092	\$22,100.28
253776750	\$10.32	253776798	\$6.05	253776832	\$344.41	78785099	\$660.00
253776751	\$129.00	253776799	\$0.92	253776833	\$220.00	78785100	\$10,636.00
218734703	\$6.45	253776801	\$16.50	253776834	\$516.00	78785108	\$258.00
253776753	\$35.68	253776803	\$11.00	253776835	\$12.60	78785119	\$2,140.00
253776754	\$54.65	252652344	\$97,964.58	253776836	\$728.00	78785121	\$33.00
253776755	\$6.45	252652346	\$12,900.00	253776838	\$110.00	78785134	\$129.00
253776757	\$1.32	252652348	\$12,900.00	78784988	\$1,290.00	78785139	\$5,350.00
253776758	\$1.29	253776804	\$81,000.00	78784997	\$2,322.00	78785153	\$3,999.00
253776759	\$14.19	253776805	\$2.20	78785003	\$258.00	253776839	\$258.00
253776762	\$22.00	253776806	\$6.45	78785015	\$660.00	252652359	\$193.50
253776763	\$25.80	253776807	\$3.52	78785017	\$35,000.00	253776841	\$890.12
253776765	\$676.94	253776809	\$0.66	78785022	\$2,580.00	253776844	\$0.16
253776766	\$168.99	253776810	\$13.20	78785024	\$13,109.04	79132487	\$119.50
253776768	\$30.17	253776811	\$645.00	78785032	\$6,233.28	79132490	\$1,041.03
253776769	\$22.14	253776812	\$99.00	78785039	\$1,625.36	79132491	\$40,687.71
253776770	\$7.49	253776813	\$7,380.03	78785042	\$6,450.00	79132498	\$2,627.24
253776775	\$387.00	253776815	\$12.90	78785050	\$234.78	79132501	\$260,154.40
253776776	\$64.50	253776817	\$36.00	78785052	\$11,610.00	79132503	\$57,552.93
253776777	\$1.29	253776818	\$21.93	78785055	\$616.00	79132543	\$3,139.86
253776779	\$1.45	253776819	\$0.22	78785058	\$125.13	79132548	\$68.00
253776780	\$177.58	252652351	\$215.07	78785059	\$220.00	253776845	\$66.00
253776782	\$204.69	252652352	\$1,807.97	78785063	\$3,096.00	253776846	\$931.00
253776785	\$66.00	253776822	\$1.11	78785065	\$1,290.00	79213051	\$23,125.00
253776786	\$26.90	253776823	\$3,050.00	78785066	\$660.00	79213052	\$23,698.00
253776787	\$640.93	253776824	\$56.76	78785067	\$1.29	79266851	\$6,200.90
253776789	\$3.74	253776825	\$1.29	78785076	\$161.25	252652373	\$59,303.40
253776791	\$0.66	253776827	\$22.00	78785082	\$23.16	80000937	\$1,610.00
253776792	\$0.37	253776828	\$36.12	78785086	\$3,870.00		
253776796		253776829	\$37.62	78785088	\$227.84		

EXHIBIT F

EXHIBIT F REJECTED CLAIMS

Exhibit Summary – Total Claims: 1,418

<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>
77730471	No Loss	77849868	No Loss	77853462	No Loss	77856736	No Purchase
77736160	No Loss	77849870	No Loss	77853463	No Loss	77856737	No Loss
77760272	No Loss	77849871	No Loss	77853464	No Loss	77858514	No Loss
77760274	No Loss	77849872	No Loss	77853465	No Loss	77858516	No Loss
77817559	No Loss	77849873	No Loss	77853466	No Loss	77858518	No Loss
77817560	No Loss	77849874	No Loss	77853467	No Loss	77858520	No Loss
77817562	No Loss	77849875	No Loss	77853470	No Loss	77858521	No Loss
77817563	No Loss	77849876	No Loss	77853474	No Loss	77858524	No Loss
77817564	No Loss	77849877	No Loss	77853475	No Purchase	77858526	No Loss
77817565	No Loss	77849878	No Loss	77853476	No Loss	77858527	No Loss
77817567	No Purchase	77849880	No Loss	77853478	No Loss	77858530	No Loss
77817568	No Purchase	77849881	No Loss	77853481	No Purchase	77858532	No Loss
77817569	No Purchase	77849887	No Loss	77853481	No Purchase	77858534	No Loss
77847470	No Loss	77849888	No Loss	77853483	No Purchase	77858538	No Loss
77847471	No Loss	77849889	No Loss	77853484	No Loss	77858815	No Loss
77847473	No Purchase	77849890	No Loss	77853485	No Purchase	77858818	No Loss
77847474	No Loss	77849891	No Purchase	77853488	No Purchase	77858819	No Loss
77849841	No Loss	77849892	No Loss	77856479	No Loss	77858821	No Purchase
77849842	No Loss	77849893	No Loss	77856709	No Loss	77858823	Replaced Claim
77849843	No Loss	77849894	No Loss	77856710	No Loss	77858824	Replaced Claim
77849844	No Purchase	77849896	No Loss	77856711	No Loss	77858825	Replaced Claim
77849845	No Purchase	77849897	No Loss	77856712	No Purchase	77858826	No Loss
77849846	No Loss	77853437	No Loss	77856713	No Loss	77858827	Replaced Claim
77849847	No Loss	77853438	No Loss	77856714	No Loss	77858828	No Purchase
77849848	No Purchase	77853439	No Loss	77856715	No Loss	77858829	No Purchase
77849849	No Loss	77853440	No Loss	77856717	No Loss	77858830	No Purchase
77849850	No Purchase	77853441	No Loss	77856718	No Loss	77858831	No Loss
77849851	No Loss	77853442	No Loss	77856719	No Loss	77858832	No Purchase
77849852	No Purchase	77853443	No Loss	77856720	No Loss	77858833	No Purchase
77849853	No Purchase	77853444	No Loss	77856721	No Loss	77858834	Replaced Claim
77849854	No Purchase	77853445	No Loss	77856722	No Loss	77858835	No Loss
77849855	No Loss	77853447	No Loss	77856723	No Loss	77858836	No Loss
77849856	No Purchase	77853448	No Loss	77856724	No Loss	77858837	No Loss
77849857	No Purchase	77853449	No Loss	77856725	No Purchase	77858838	Non U.S. Trading
77849858	No Loss	77853450	No Loss	77856726	No Loss	77858839	No Purchase
77849859	No Loss	77853451	No Loss	77856727	No Purchase	77858840	No Purchase
77849860	No Loss	77853452	No Purchase	77856728	No Loss	77858841	Replaced Claim
77849861	No Loss	77853453	No Purchase	77856729	No Loss	77858842	No Purchase
77849862	No Purchase	77853454	No Loss	77856730	No Loss	77858843	No Purchase
77849863	No Loss	77853455	No Purchase	77856731	No Loss	77858844	Replaced Claim
77849864	No Loss	77853457	No Loss	77856732	No Loss	77858845	No Purchase
77849865	No Loss	77853459	No Purchase	77856733	No Loss	77858846	Replaced Claim
77849866	No Loss	77853460	No Loss	77856734	No Loss	77858847	No Purchase
77849867	No Loss	77853461	No Loss	77856735	No Purchase	77858848	No Purchase

EXHIBIT F REJECTED CLAIMS

<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>
77858849	No Loss	77858895	No Loss	77859291	No Loss	77859344	No Loss
77858850	No Loss	77858925	No Purchase	77859292	No Loss	77859346	No Loss
77858851	No Loss	77858926	No Loss	77859293	No Loss	77859347	No Purchase
77858852	Replaced Claim	77858927	No Loss	77859294	No Loss	77859349	No Loss
77858853	No Loss	77858928	No Loss	77859295	No Loss	77859350	No Loss
77858854	No Loss	77858930	No Loss	77859296	No Loss	77859351	No Loss
77858855	No Loss	77858931	No Purchase	77859297	No Loss	77859352	No Loss
77858856	No Loss	77858964	No Loss	77859298	No Loss	77859354	No Purchase
77858857	No Loss	77858965	No Loss	77859299	No Loss	77859355	No Purchase
77858858	No Loss	77858966	No Loss	77859300	No Loss	77859358	No Loss
77858859	No Purchase	77858967	No Loss	77859301	No Loss	77859359	No Loss
77858860	No Purchase	77858969	No Purchase	77859302	No Loss	77859360	No Purchase
77858861	No Purchase	77858971	No Loss	77859303	No Loss	77859361	No Purchase
77858862	No Purchase	77858974	No Loss	77859304	No Loss	77859362	No Purchase
77858863	Replaced Claim	77858980	No Loss	77859305	No Loss	77859363	No Purchase
77858864	No Purchase	77858982	No Loss	77859306	No Loss	77859364	No Purchase
77858865	Replaced Claim	77858985	No Loss	77859307	No Loss	77859366	No Purchase
77858866	Replaced Claim	77859261	No Loss	77859308	No Loss	77859373	No Purchase
77858867	Replaced Claim	77859262	No Loss	77859309	No Loss	77859375	No Loss
77858868	Replaced Claim	77859263	No Loss	77859310	No Loss	77859378	No Purchase
77858869	Replaced Claim	77859264	No Loss	77859311	No Loss	77859379	No Loss
77858870	Replaced Claim	77859266	No Purchase	77859312	No Loss	77859380	No Purchase
77858871	Replaced Claim	77859267	No Loss	77859313	No Loss	77859381	No Purchase
77858872	Replaced Claim	77859268	No Purchase	77859314	No Loss	77859382	No Loss
77858873	Replaced Claim	77859269	No Purchase	77859315	No Loss	77859383	No Loss
77858874	Replaced Claim	77859270	No Purchase	77859316	No Loss	77859384	No Loss
77858875	Replaced Claim	77859271	No Purchase	77859317	No Loss	77859385	No Loss
77858876	Replaced Claim	77859272	No Loss	77859319	No Loss	77859386	No Purchase
77858877	Replaced Claim	77859273	No Purchase	77859320	No Loss	77859387	No Purchase
77858878	No Purchase	77859274	No Loss	77859321	No Loss	77859388	No Purchase
77858879	Replaced Claim	77859275	No Purchase	77859323	No Loss	77860649	Replaced Claim
77858880	Replaced Claim	77859276	No Loss	77859324	No Loss	77860650	Replaced Claim
77858881	Replaced Claim	77859277	No Loss	77859325	No Loss	77860651	Replaced Claim
77858882	Replaced Claim	77859278	No Loss	77859326	No Loss	77860652	Replaced Claim
77858883	Replaced Claim	77859279	No Loss	77859327	No Loss	77860653	Replaced Claim
77858884	Replaced Claim	77859280	No Loss	77859328	No Loss	77860654	Replaced Claim
77858885	No Loss	77859281	No Loss	77859329	No Loss	77860655	Replaced Claim
77858886	Replaced Claim	77859282	No Loss	77859330	No Loss	77860656	Replaced Claim
77858887	Replaced Claim	77859283	No Loss	77859331	No Loss	77860657	Replaced Claim
77858888	Replaced Claim	77859284	No Loss	77859333	No Loss	77860659	No Purchase
77858889	Replaced Claim	77859285	No Loss	77859334	No Loss	77860661	No Loss
77858890	Replaced Claim	77859286	No Loss	77859336	No Loss	77860662	No Purchase
77858891	No Purchase	77859287	No Loss	77859338	No Loss	77860663	No Loss
77858892	No Purchase	77859288	No Loss	77859340	No Loss	77860665	No Purchase
77858893	No Purchase	77859289	No Loss	77859341	No Purchase	77860666	No Purchase
77858894	Replaced Claim	77859290	No Loss	77859343	No Loss	77860667	No Loss

EXHIBIT F REJECTED CLAIMS

<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>
77860668	No Loss	77866953	No Loss	77867068	No Loss	77867153	No Loss
77860903	No Purchase	77866961	No Loss	77867069	No Loss	77867157	No Loss
77860904	No Purchase	77866963	No Loss	77867070	No Purchase	77867159	No Purchase
77860905	No Purchase	77866964	No Loss	77867071	No Purchase	77867161	Duplicate Claim
77860906	No Purchase	77866965	No Loss	77867072	No Loss	77867162	No Purchase
77860907	No Loss	77866968	No Loss	77867073	No Loss	77867163	No Purchase
77860908	No Loss	77866971	No Loss	77867074	No Loss	77867164	No Loss
77860910	No Purchase	77866972	No Loss	77867077	No Loss	77867166	No Loss
77860911	No Purchase	77866973	No Loss	77867078	No Purchase	77867167	No Loss
77860916	No Purchase	77866983	No Loss	77867084	No Loss	77867168	No Loss
77860919	No Loss	77866984	No Loss	77867085	No Loss	77867179	No Loss
77860920	No Loss	77866986	No Loss	77867088	No Purchase	77867180	No Loss
77860921	No Purchase	77866993	No Loss	77867089	No Loss	77867181	No Loss
77860924	No Loss	77866994	No Loss	77867091	No Loss	77867182	No Loss
77860925	No Loss	77866995	No Loss	77867092	No Purchase	77867183	No Loss
77860926	No Loss	77866997	No Purchase	77867095	No Purchase	77867184	No Loss
77860927	No Loss	77867000	No Purchase	77867096	No Purchase	77867189	No Loss
77865004	No Loss	77867001	No Loss	77867097	No Loss	77867190	No Loss
77865005	No Loss	77867002	No Loss	77867099	No Loss	77867191	No Loss
77865006	No Loss	77867004	No Loss	77867100	No Loss	77867192	No Loss
77865007	No Purchase	77867006	No Loss	77867104	No Loss	77867198	No Loss
77865008	No Loss	77867007	No Purchase	77867109	No Loss	77867232	No Purchase
77865009	No Loss	77867008	No Loss	77867110	No Loss	77867278	No Loss
77865012	No Loss	77867011	No Loss	77867111	No Loss	77867279	No Loss
77865013	No Loss	77867012	No Purchase	77867112	No Loss	77867283	No Loss
77865014	No Purchase	77867013	No Purchase	77867113	No Loss	77867284	No Loss
77865015	No Purchase	77867019	No Purchase	77867114	No Loss	77867285	No Loss
77865016	No Purchase	77867022	No Purchase	77867115	No Loss	77867286	No Loss
77865017	No Purchase	77867025	No Loss	77867116	No Loss	77867289	No Loss
77865018	No Purchase	77867027	No Purchase	77867117	No Loss	77867291	No Purchase
77865019	No Purchase	77867029	No Purchase	77867119	No Loss	77867292	No Purchase
77865020	No Purchase	77867030	No Loss	77867120	No Loss	77867293	No Purchase
77866927	No Loss	77867032	No Purchase	77867122	No Loss	77867294	No Purchase
77866928	No Loss	77867033	No Loss	77867126	No Purchase	77867300	No Loss
77866929	No Loss	77867035	No Loss	77867129	No Loss	77867307	No Loss
77866930	No Purchase	77867036	No Loss	77867131	No Loss	77867308	No Purchase
77866931	No Loss	77867038	No Loss	77867132	No Loss	77867309	No Purchase
77866932	No Loss	77867044	No Loss	77867133	No Loss	77867310	No Purchase
77866935	No Loss	77867049	No Purchase	77867134	No Purchase	77867693	No Loss
77866936	No Loss	77867051	No Loss	77867135	No Purchase	77892895	No Loss
77866938	No Loss	77867052	No Loss	77867136	No Purchase	77892896	No Loss
77866939	No Loss	77867054	No Loss	77867137	No Loss	77892898	No Loss
77866940	No Loss	77867055	No Loss	77867138	No Loss	77892899	No Purchase
77866946	No Loss	77867063	No Loss	77867146	No Loss	77892901	No Loss
77866951	No Loss	77867065	No Loss	77867147	No Purchase	77892904	No Loss
77866952	No Loss	77867066	No Loss	77867149	No Loss	77892907	No Loss

EXHIBIT F REJECTED CLAIMS

<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>
77892913	No Loss	78785005	No Purchase	78785064	No Loss	78785124	No Purchase
77892917	No Purchase	78785006	No Purchase	78785068	No Loss	78785125	No Purchase
77892926	No Loss	78785007	No Purchase	78785069	No Purchase	78785126	No Purchase
77892927	No Purchase	78785008	No Purchase	78785070	No Purchase	78785127	No Loss
77892928	No Purchase	78785009	No Purchase	78785071	No Purchase	78785128	No Purchase
77892931	No Purchase	78785010	No Purchase	78785072	No Purchase	78785129	No Purchase
77892933	No Loss	78785011	No Purchase	78785073	No Purchase	78785130	No Purchase
77892937	No Loss	78785012	No Loss	78785074	No Loss	78785131	No Purchase
77892941	No Loss	78785013	No Loss	78785075	No Loss	78785132	No Loss
77892942	No Loss	78785014	No Loss	78785077	No Loss	78785133	No Purchase
77892945	No Purchase	78785016	No Loss	78785078	No Loss	78785135	No Loss
77892949	No Loss	78785018	No Purchase	78785079	No Purchase	78785136	No Purchase
77892950	No Loss	78785019	No Loss	78785080	No Purchase	78785137	No Purchase
77892963	No Loss	78785020	No Loss	78785081	No Loss	78785138	No Purchase
77892964	No Loss	78785021	No Purchase	78785083	No Purchase	78785140	No Purchase
77892966	No Loss	78785023	No Purchase	78785084	No Purchase	78785141	No Loss
77892968	No Purchase	78785025	No Purchase	78785085	No Purchase	78785142	No Purchase
77892973	No Loss	78785026	No Purchase	78785087	No Loss	78785143	No Purchase
77892974	No Loss	78785027	No Purchase	78785089	No Loss	78785144	No Purchase
77893024	No Loss	78785028	No Purchase	78785090	No Purchase	78785145	No Loss
77893026	No Loss	78785029	No Purchase	78785093	No Loss	78785146	No Purchase
77963923	Replaced Claim	78785030	No Purchase	78785094	No Loss	78785147	No Purchase
77963925	Replaced Claim	78785031	No Loss	78785095	No Purchase	78785148	No Purchase
77963927	Replaced Claim	78785033	No Purchase	78785096	No Purchase	78785149	No Purchase
77976965	No Loss	78785034	No Purchase	78785097	No Purchase	78785150	No Loss
78003928	No Loss	78785035	No Purchase	78785098	No Loss	78785151	No Purchase
78118090	No Purchase	78785036	No Loss	78785101	No Purchase	78785152	No Loss
78118091	No Loss	78785037	No Purchase	78785102	No Purchase	78785154	No Purchase
78409342	No Loss	78785038	No Purchase	78785103	No Purchase	79112530	No Loss
78427706	No Loss	78785040	No Purchase	78785104	No Purchase	79132485	No Purchase
78427707	No Loss	78785041	No Purchase	78785105	No Loss	79132486	No Purchase
78784987	No Purchase	78785043	No Loss	78785106	No Purchase	79132488	No Loss
78784989	No Purchase	78785044	No Loss	78785107	No Purchase	79132489	No Loss
78784990	No Loss	78785045	No Purchase	78785109	No Purchase	79132492	No Loss
78784991	No Purchase	78785046	No Loss	78785110	No Loss	79132493	No Loss
78784992	No Purchase	78785047	No Purchase	78785111	No Purchase	79132494	No Loss
78784993	No Purchase	78785048	No Purchase	78785112	No Purchase	79132495	No Purchase
78784994	No Loss	78785049	No Purchase	78785113	No Purchase	79132496	No Loss
78784995	No Purchase	78785051	No Purchase	78785114	No Purchase	79132497	No Loss
78784996	No Purchase	78785053	No Purchase	78785115	No Purchase	79132499	No Loss
78784998	No Purchase	78785054	No Purchase	78785116	No Purchase	79132500	No Loss
78784999	No Purchase	78785056	No Loss	78785117	No Loss	79132502	No Loss
78785000	No Purchase	78785057	No Purchase	78785118	No Purchase	79132504	No Loss
78785001	No Purchase	78785060	No Purchase	78785120	No Loss	79132505	No Loss
78785002	No Loss	78785061	No Purchase	78785122	No Purchase	79132506	No Loss
78785004	No Loss	78785062	No Purchase	78785123	No Purchase	79132507	No Loss

EXHIBIT F REJECTED CLAIMS

<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>
79132508	No Loss	218734569	No Loss	218734695	No Loss	253774991	No Loss
79132509	No Loss	218734571	No Loss	218734698	No Loss	253774992	No Purchase
79132510	No Loss	218734573	No Purchase	218734699	No Loss	253774993	No Purchase
79132511	No Loss	218734574	No Purchase	218734702	No Loss	253774994	No Loss
79132512	Replaced Claim	218734576	No Loss	252652265	No Loss	253774995	No Loss
79132513	Replaced Claim	218734582	No Purchase	252652284	Duplicate Claim	253775001	No Loss
79132514	Replaced Claim	218734583	No Loss	252652302	No Purchase	253775006	No Loss
79132515	Replaced Claim	218734586	No Loss	252652303	No Purchase	253775009	No Purchase
79132516	Replaced Claim	218734587	No Loss	252652306	Replaced Claim	253775012	No Purchase
79132517	Replaced Claim	218734593	Non U.S. Trading	252652313	Duplicate Claim	253775018	No Loss
79132518	Replaced Claim	218734596	No Loss	252652325	Non U.S. Trading	253775021	No Loss
79132519	Replaced Claim	218734604	No Loss	252652334	Non U.S. Trading	253775022	No Purchase
79132520	Replaced Claim	218734609	No Loss	252652335	No Loss	253775025	No Loss
79132521	Replaced Claim	218734610	No Loss	252652341	No Loss	253775027	No Purchase
79132522	Replaced Claim	218734619	No Loss	252652345	Duplicate Claim	253775028	No Loss
79132523	Replaced Claim	218734621	No Loss	252652347	No Purchase	253775031	No Loss
79132524	Replaced Claim	218734623	Non U.S. Trading	252652349	No Purchase	253775035	No Loss
79132525	Replaced Claim	218734625	No Purchase	252652368	No Loss	253775045	No Loss
79132526	Replaced Claim	218734626	No Loss	252652370	No Loss	253775046	No Loss
79132527	Replaced Claim	218734627	No Loss	252652371	No Loss	253775048	No Loss
79132528	Replaced Claim	218734629	No Loss	253774926	No Loss	253775051	No Loss
79132529	Replaced Claim	218734631	No Loss	253774934	Duplicate Claim	253775054	No Loss
79132530	Replaced Claim	218734634	No Loss	253774936	No Purchase	253775055	No Loss
79132531	Replaced Claim	218734635	No Loss	253774939	No Purchase	253775058	No Loss
79132532	Replaced Claim	218734636	No Loss	253774940	No Loss	253775064	No Loss
79132533	No Loss	218734639	No Loss	253774943	No Loss	253775068	No Loss
79132534	No Loss	218734641	Duplicate Claim	253774945	Non U.S. Trading	253775070	No Loss
79132535	No Loss	218734642	No Loss	253774946	Non U.S. Trading	253775082	No Purchase
79132536	No Loss	218734643	No Loss	253774947	Non U.S. Trading	253775084	No Loss
79132537	No Loss	218734644	No Loss	253774948	Non U.S. Trading	253775090	No Loss
79132538	No Loss	218734645	No Loss	253774949	No Purchase	253775099	No Purchase
79132539	No Purchase	218734646	No Loss	253774952	No Loss	253775103	No Purchase
79132540	No Loss	218734647	No Loss	253774954	No Loss	253775104	No Loss
79132541	No Loss	218734648	No Purchase	253774955	No Purchase	253775106	No Loss
79132542	No Loss	218734654	No Purchase	253774960	No Loss	253775109	No Purchase
79132544	No Purchase	218734655	No Purchase	253774964	Duplicate Claim	253775111	No Purchase
79132545	No Loss	218734667	No Loss	253774965	No Purchase	253775113	No Loss
79132546	No Loss	218734672	Non U.S. Trading	253774968	No Loss	253775115	No Loss
79132547	No Loss	218734673	No Purchase	253774971	No Purchase	253775117	No Loss
79132549	No Loss	218734682	No Loss	253774975	No Purchase	253775118	No Loss
79132550	No Loss	218734686	No Loss	253774977	No Purchase	253775121	No Loss
79132551	No Loss	218734687	No Loss	253774979	No Loss	253775122	No Loss
79132552	No Loss	218734690	Duplicate Claim	253774981	Non U.S. Trading	253775126	No Loss
79132553	No Loss	218734691	Non U.S. Trading	253774984	No Purchase	253775131	No Loss
79132554	No Purchase	218734692	No Loss	253774986	No Loss	253775132	No Loss
218734562	No Purchase	218734694	No Purchase	253774987	No Purchase	253775142	No Loss

EXHIBIT F REJECTED CLAIMS

<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>
253775147	No Loss	253775332	No Purchase	253775496	No Loss	253775682	No Loss
253775149	No Purchase	253775335	No Loss	253775507	No Loss	253775686	No Purchase
253775154	No Purchase	253775337	No Loss	253775510	No Purchase	253775689	No Loss
253775155	No Loss	253775338	No Loss	253775511	No Purchase	253775691	No Loss
253775163	No Loss	253775346	No Loss	253775514	No Loss	253775692	No Loss
253775164	No Loss	253775355	No Purchase	253775517	No Loss	253775693	No Purchase
253775171	No Purchase	253775357	No Loss	253775520	No Loss	253775699	No Loss
253775172	No Loss	253775366	No Purchase	253775527	No Loss	253775720	No Loss
253775178	No Loss	253775374	No Loss	253775528	No Purchase	253775733	No Loss
253775182	No Loss	253775380	No Loss	253775536	No Loss	253775734	Duplicate Claim
253775183	No Loss	253775381	No Purchase	253775537	No Loss	253775737	No Loss
253775193	No Loss	253775384	No Purchase	253775541	No Loss	253775739	No Loss
253775194	No Loss	253775385	No Loss	253775546	No Purchase	253775740	No Loss
253775197	No Loss	253775386	No Purchase	253775551	No Loss	253775745	No Purchase
253775199	No Loss	253775393	No Purchase	253775556	No Purchase	253775748	No Purchase
253775203	No Loss	253775396	No Loss	253775565	No Loss	253775750	No Loss
253775206	No Loss	253775398	No Purchase	253775570	No Loss	253775754	No Loss
253775216	No Purchase	253775402	No Purchase	253775571	No Purchase	253775769	No Loss
253775217	No Loss	253775408	No Purchase	253775574	No Purchase	253775771	No Loss
253775220	No Loss	253775409	No Purchase	253775578	No Purchase	253775780	No Purchase
253775225	No Loss	253775418	No Loss	253775579	No Purchase	253775781	No Loss
253775229	Non U.S. Trading	253775419	Duplicate Claim	253775580	No Loss	253775786	No Loss
253775233	No Loss	253775420	Duplicate Claim	253775588	No Loss	253775791	No Purchase
253775234	No Loss	253775422	Duplicate Claim	253775591	No Purchase	253775792	No Loss
253775237	No Loss	253775424	Duplicate Claim	253775598	No Loss	253775797	No Loss
253775243	No Loss	253775425	Duplicate Claim	253775606	No Loss	253775799	No Loss
253775244	No Loss	253775426	Duplicate Claim	253775615	No Loss	253775800	No Loss
253775245	No Loss	253775431	No Loss	253775617	No Loss	253775805	Non U.S. Trading
253775254	No Loss	253775432	No Loss	253775620	No Loss	253775806	No Loss
253775265	No Loss	253775435	No Purchase	253775621	No Loss	253775818	No Loss
253775266	No Loss	253775445	No Loss	253775623	No Loss	253775819	Non U.S. Trading
253775267	Non U.S. Trading	253775448	No Loss	253775625	No Purchase	253775821	No Loss
253775273	No Purchase	253775449	No Loss	253775628	No Purchase	253775829	No Loss
253775279	No Loss	253775452	No Loss	253775630	No Purchase	253775842	No Loss
253775281	No Loss	253775454	No Loss	253775639	No Purchase	253775845	No Purchase
253775287	No Loss	253775455	No Loss	253775641	No Loss	253775855	No Loss
253775293	No Loss	253775457	No Purchase	253775649	No Purchase	253775856	No Purchase
253775296	No Loss	253775465	No Loss	253775652	No Loss	253775860	No Loss
253775297	No Purchase	253775468	No Loss	253775653	No Loss	253775864	Duplicate Claim
253775299	No Loss	253775472	No Loss	253775654	No Loss	253775866	No Loss
253775310	No Loss	253775475	No Loss	253775666	No Loss	253775868	No Loss
253775317	No Purchase	253775476	No Purchase	253775669	No Loss	253775869	No Loss
253775323	No Loss	253775479	No Loss	253775671	No Loss	253775870	No Purchase
253775325	No Loss	253775488	No Loss	253775673	No Loss	253775875	No Loss
253775328	No Purchase	253775490	No Loss	253775676	No Loss	253775883	No Purchase
253775329	No Purchase	253775493	No Purchase	253775680	No Loss	253775890	No Loss

EXHIBIT F REJECTED CLAIMS

<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>
253775894	Duplicate Claim	253776156	No Loss	253776156	No Purchase	253776299	No Loss
253775896	Duplicate Claim	253776162	No Loss	253776162	No Loss	253776301	No Purchase
253775898	Duplicate Claim	253776163	No Loss	253776163	No Purchase	253776304	No Loss
253775900	No Loss	253776164	No Loss	253776164	No Purchase	253776305	No Purchase
253775907	No Loss	253776166	No Purchase	253776166	No Purchase	253776306	No Purchase
253775909	No Loss	253776168	No Purchase	253776168	No Loss	253776307	No Purchase
253775911	No Purchase	253776179	No Loss	253776179	No Loss	253776310	No Purchase
253775913	No Loss	253776180	No Purchase	253776180	No Purchase	253776315	No Loss
253775914	No Purchase	253776183	No Purchase	253776183	No Purchase	253776317	No Purchase
253775916	Duplicate Claim	253776188	No Loss	253776188	No Purchase	253776318	No Loss
253775917	No Loss	253776190	No Loss	253776190	No Loss	253776319	No Purchase
253775920	No Purchase	253776193	No Loss	253776193	No Purchase	253776321	No Purchase
253775921	No Loss	253776194	No Purchase	253776194	No Purchase	253776323	No Purchase
253775922	No Purchase	253776198	No Purchase	253776198	No Purchase	253776328	No Purchase
253775926	No Loss	253776199	No Loss	253776199	No Purchase	253776329	No Loss
253775927	No Loss	253776205	No Loss	253776205	No Purchase	253776330	No Purchase
253775936	No Loss	253776208	No Purchase	253776208	No Purchase	253776334	No Loss
253775941	No Loss	253776211	No Purchase	253776211	No Purchase	253776336	No Purchase
253775953	No Loss	253776216	No Loss	253776216	No Loss	253776339	No Purchase
253775954	No Purchase	253776217	No Loss	253776217	No Loss	253776341	No Loss
253775957	No Loss	253776218	No Loss	253776218	No Loss	253776346	No Loss
253775968	No Purchase	253776220	No Loss	253776220	No Purchase	253776353	No Purchase
253775969	No Loss	253776221	No Purchase	253776221	No Loss	253776360	No Purchase
253775970	No Purchase	253776223	No Purchase	253776223	No Purchase	253776362	No Loss
253775971	No Purchase	253776226	No Purchase	253776226	No Purchase	253776364	No Loss
253775976	No Purchase	253776230	No Purchase	253776230	No Purchase	253776365	No Purchase
253775979	Non U.S. Trading	253776235	No Loss	253776235	No Purchase	253776367	No Purchase
253775982	No Loss	253776236	No Purchase	253776236	No Loss	253776368	No Purchase
253775990	No Loss	253776238	No Loss	253776238	No Purchase	253776371	No Loss
253775992	No Loss	253776240	No Purchase	253776240	No Loss	253776373	No Loss
253775998	No Loss	253776243	No Purchase	253776243	No Purchase	253776377	No Loss
253776000	No Purchase	253776247	No Loss	253776247	No Purchase	253776378	No Loss
253776006	No Purchase	253776251	No Purchase	253776251	No Purchase	253776379	No Purchase
253776009	No Loss	253776252	No Purchase	253776252	No Loss	253776380	No Purchase
253776011	No Loss	253776255	No Purchase	253776255	No Loss	253776381	No Purchase
253776015	No Purchase	253776261	No Purchase	253776261	No Purchase	253776383	No Loss
253776017	Non U.S. Trading	253776262	No Purchase	253776262	No Purchase	253776384	No Loss
253776018	No Purchase	253776267	No Purchase	253776267	No Purchase	253776386	No Loss
253776019	No Purchase	253776271	No Purchase	253776271	No Loss	253776387	No Purchase
253776021	No Purchase	253776282	No Loss	253776282	No Purchase	253776389	No Loss
253776022	No Loss	253776284	No Loss	253776284	No Loss	253776391	No Loss
253776023	No Loss	253776287	No Purchase	253776287	No Loss	253776398	No Loss
253776027	No Loss	253776288	No Purchase	253776288	No Loss	253776399	No Loss
253776030	No Loss	253776289	No Purchase	253776289	No Purchase	253776403	No Loss
		253776292	No Loss	253776292	No Loss	253776404	No Loss
		253776295	No Loss	253776295	No Purchase	253776406	No Loss

EXHIBIT F REJECTED CLAIMS

<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>	<u>Claim #</u>	<u>Rejected Reason</u>
253776411	No Purchase	253776503	No Purchase	253776611	No Loss	253776756	No Loss
253776415	No Loss	253776504	No Purchase	253776613	No Loss	253776760	No Loss
253776416	No Purchase	253776506	No Purchase	253776618	No Loss	253776761	No Purchase
253776418	No Loss	253776507	No Purchase	253776626	No Purchase	253776764	No Purchase
253776419	No Loss	253776508	No Loss	253776638	No Purchase	253776767	No Purchase
253776420	No Purchase	253776510	No Loss	253776639	No Loss	253776771	No Purchase
253776422	No Purchase	253776511	No Purchase	253776640	No Purchase	253776772	No Purchase
253776423	No Loss	253776512	No Purchase	253776641	No Purchase	253776773	No Purchase
253776424	No Purchase	253776516	No Purchase	253776646	No Purchase	253776774	No Purchase
253776425	No Loss	253776525	No Loss	253776648	No Purchase	253776778	No Purchase
253776426	No Purchase	253776535	No Purchase	253776650	No Purchase	253776781	No Loss
253776428	No Purchase	253776538	No Purchase	253776662	No Purchase	253776783	No Loss
253776431	No Loss	253776539	No Loss	253776664	No Purchase	253776784	No Loss
253776434	No Purchase	253776543	No Loss	253776668	No Loss	253776788	No Loss
253776435	No Loss	253776549	No Purchase	253776669	No Purchase	253776790	No Purchase
253776436	No Purchase	253776552	No Purchase	253776672	No Loss	253776793	No Purchase
253776444	No Loss	253776553	No Loss	253776673	No Loss	253776794	No Loss
253776445	No Purchase	253776556	No Loss	253776675	No Loss	253776795	No Purchase
253776453	No Purchase	253776559	No Purchase	253776684	No Purchase	253776800	No Purchase
253776458	No Purchase	253776563	No Loss	253776687	No Loss	253776802	No Purchase
253776460	No Loss	253776564	No Purchase	253776692	No Purchase	253776808	No Loss
253776461	No Purchase	253776566	No Loss	253776703	No Loss	253776814	No Loss
253776464	No Purchase	253776572	Non U.S. Trading	253776704	No Loss	253776816	No Loss
253776465	No Purchase	253776573	No Purchase	253776708	No Loss	253776820	No Loss
253776468	No Purchase	253776577	No Purchase	253776709	No Loss	253776821	No Loss
253776470	No Purchase	253776581	No Loss	253776714	No Purchase	253776826	No Loss
253776474	No Purchase	253776583	No Loss	253776718	No Loss	253776837	No Purchase
253776477	No Purchase	253776587	No Loss	253776720	No Loss	253776840	No Loss
253776478	No Purchase	253776588	No Purchase	253776725	No Purchase	253776842	No Purchase
253776479	No Purchase	253776591	No Loss	253776728	No Loss	253776843	No Loss
253776482	No Loss	253776593	No Purchase	253776740	No Loss		
253776484	No Loss	253776596	No Purchase	253776744	No Loss		
253776489	No Purchase	253776597	No Loss	253776746	No Loss		
253776492	No Purchase	253776599	No Purchase	253776747	No Purchase		
253776496	No Loss	253776601	No Purchase	253776748	No Purchase		
253776497	No Purchase	253776608	No Purchase	253776752	No Loss		

EXHIBIT G

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 accounting@abdataclassaction.com
 abdataclassaction.com



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 3505
 CHICAGO, IL, 60603

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CLIENT 661169

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Project/Database Setup (One-Time Fee)	1	4,500.00	\$4,500.00
Receipt and Processing of Undeliverable Mail	29	0.75	\$21.75
Remailing of Notice Packets to Updated Addresses	13	0.95	\$12.35
Website Setup and Design (One-Time Fee)	1	3,000.00	\$3,000.00
Toll-Free Telephone Line Setup (One-Time Fee)	1	1,000.00	\$1,000.00
Receipt and Preparation of Paper Claim Forms	3	2.50	\$7.50
Loading of Electronic Claims Data (Hourly)	2.50	160.00	\$400.00
Claim Processing, Validation, and Audits (Hourly)	6.00	55.00	\$330.00
Project Management (Hourly)	12.00	165.00	\$1,980.00
System Support (Hourly)	27.75	175.00	\$4,856.25
Quality Assurance (Hourly)	8.00	150.00	\$1,200.00
Staff (Hourly)	37.50	95.00	\$3,562.50
Printing and Mailing of Notice Postcards	125,000	0.1100	\$13,750.00
Postage	1	6,827.38	\$6,827.38
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	13	0.48	\$6.24
CSRs/Live Operators/Correspondence Processing (Hourly)	6.25	55.00	\$343.75
800 Number Charges (Per Minute)	19	0.14	\$2.66
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Imaging	35	0.20	\$7.00
Bank, Broker, and Nominee Fulfillment Expenses	1	1,183.00	\$1,183.00
Receipt/Processing of Bank/Broker/Nominee Lists for Mailing	13	175.00	\$2,275.00
Electronic Storage	1	3.81	\$3.81
Market Research	1	100.00	\$100.00

TOTAL \$45,839.19

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO
 US BANK, N.A.
 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

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 CHICAGO, IL, 60603

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CLIENT 661169

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	53	0.75	\$39.75
Remailing of Notice Packets to Updated Addresses	23	0.95	\$21.85
Receipt and Preparation of Paper Claim Forms	83	2.50	\$207.50
Upload and Import of Online Claim Forms	1,021	0.95	\$969.95
Claim Processing, Validation, and Audits (Hourly)	66.50	55.00	\$3,657.50
Project Management (Hourly)	13.25	165.00	\$2,186.25
System Support (Hourly)	29.00	175.00	\$5,075.00
Quality Assurance (Hourly)	0.25	150.00	\$37.50
Staff (Hourly)	96.25	95.00	\$9,143.75
Postage	1	15,570.45	\$15,570.45
Media Notice	1	2,387.50	\$2,387.50
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	227	0.48	\$108.96
CSRs/Live Operators/Correspondence Processing (Hourly)	28.50	55.00	\$1,567.50
800 Number Charges (Per Minute)	649	0.14	\$90.86
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Advanced Address Updates	39	1.10	\$42.90
Document Imaging	781	0.20	\$156.20
Post Office Box Rental/Renewal (Annually)	1	1,480.00	\$1,480.00
Bank, Broker, and Nominee Fulfillment Expenses	1	20,954.18	\$20,954.18
Receipt/Processing of Bank/Broker/Nominee Lists for Mailing	2	175.00	\$350.00
Document Storage - Paper (Per Box/Per Month)	2	2.50	\$5.00
Electronic Storage	1	1,480.00	\$1,480.00

TOTAL \$66,002.60

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 US BANK, N.A.
 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
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DATE 6/30/2023
CLIENT 661169

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	2,699	0.75	\$2,024.25
Receipt and Preparation of Paper Claim Forms	71	2.50	\$177.50
Upload and Import of Online Claim Forms	818	0.95	\$777.10
Claim Processing, Validation, and Audits (Hourly)	38.50	55.00	\$2,117.50
Project Management (Hourly)	11.00	165.00	\$1,815.00
System Support (Hourly)	6.75	175.00	\$1,181.25
Quality Assurance (Hourly)	0.50	150.00	\$75.00
Staff (Hourly)	52.50	95.00	\$4,987.50
Printing/Mailing of Notice Letters with Envelopes	30,000	0.487	\$14,610.00
Postage	1	9.60	\$9.60
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	145	0.48	\$69.60
CSRs/Live Operators/Correspondence Processing (Hourly)	21.75	55.00	\$1,196.25
800 Number Charges (Per Minute)	647	0.14	\$90.58
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Advanced Address Updates	110	1.10	\$121.00
Document Imaging	980	0.20	\$196.00
Document Storage - Paper (Per Box/Per Month)	2	2.50	\$5.00
Electronic Storage	1	110.88	\$110.88
Electronic Storage - Correct May 2023	-1	1,391.66	\$-1,391.66

TOTAL \$28,642.35

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 PO Box 170062, Milwaukee, WI 53217
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 400 W. Brown Deer Road, Bayside, WI 53217
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 Swift Code USBKUS44IMT

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CLIENT 661169

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	132	0.75	\$99.00
Receipt and Preparation of Paper Claim Forms	3	2.50	\$7.50
Upload and Import of Online Claim Forms	36	0.95	\$34.20
Loading of Electronic Claims Data (Hourly)	1.50	160.00	\$240.00
Claim Processing, Validation, and Audits (Hourly)	47.00	55.00	\$2,585.00
Project Management (Hourly)	14.75	165.00	\$2,433.75
Quality Assurance (Hourly)	36.00	150.00	\$5,400.00
Staff (Hourly)	2.50	95.00	\$237.50
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	21	0.48	\$10.08
CSRs/Live Operators/Correspondence Processing (Hourly)	5.00	55.00	\$275.00
800 Number Charges (Per Minute)	51	0.14	\$7.14
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Imaging	68	0.20	\$13.60
Document Storage - Paper (Per Box/Per Month)	2	2.50	\$5.00
Electronic Storage	1	109.38	\$109.38

TOTAL \$11,927.15

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SEND WIRES TO
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 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

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CLIENT 661169

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	65	0.75	\$48.75
Receipt and Preparation of Paper Claim Forms	1	2.50	\$2.50
Upload and Import of Online Claim Forms	17	0.95	\$16.15
Claim Processing, Validation, and Audits (Hourly)	17.75	55.00	\$976.25
Project Management (Hourly)	1.50	165.00	\$247.50
Staff (Hourly)	5.75	95.00	\$546.25
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	13	0.48	\$6.24
CSRs/Live Operators/Correspondence Processing (Hourly)	2.50	55.00	\$137.50
800 Number Charges (Per Minute)	58	0.14	\$8.12
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Bank, Broker, and Nominee Fulfillment Expenses	1	130.50	\$130.50
Document Storage - Paper (Per Box/Per Month)	2	2.50	\$5.00
Electronic Storage	1	111.71	\$111.71

TOTAL \$2,706.47

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO
 US BANK, N.A.
 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

A.B. DATA, LTD.
 Class Action Administration
 600 A. B. Data Drive
 Milwaukee, WI 53217
 414-961-7523
 accounting@abdataclassaction.com
 abdataclassaction.com



POMERANTZ LLP
 10 SOUTH LASALLE STREET SUITE
 3505
 CHICAGO, IL, 60603

INVOICE INV000305484
PAGE 1/1
DATE 9/30/2023
CLIENT 661169

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	47	0.75	\$35.25
Upload and Import of Online Claim Forms	9	0.95	\$8.55
Claim Processing, Validation, and Audits (Hourly)	6.00	55.00	\$330.00
Project Management (Hourly)	8.00	165.00	\$1,320.00
System Support (Hourly)	0.75	175.00	\$131.25
Quality Assurance (Hourly)	0.25	150.00	\$37.50
Staff (Hourly)	4.25	95.00	\$403.75
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	19	0.48	\$9.12
CSRs/Live Operators/Correspondence Processing (Hourly)	3.00	55.00	\$165.00
800 Number Charges (Per Minute)	43	0.14	\$6.02
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Storage - Paper (Per Box/Per Month)	2	2.50	\$5.00
Electronic Storage	1	111.71	\$111.71

TOTAL \$3,033.15

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO
 US BANK, N.A.
 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

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INVOICE INV000305597
PAGE 1/1
DATE 10/30/2023
CLIENT 661169

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	30	0.75	\$22.50
Receipt and Preparation of Paper Claim Forms	2	2.50	\$5.00
Upload and Import of Online Claim Forms	4	0.95	\$3.80
Claim Processing, Validation, and Audits (Hourly)	0.25	55.00	\$13.75
Project Management (Hourly)	9.00	165.00	\$1,485.00
Quality Assurance (Hourly)	0.25	150.00	\$37.50
Staff (Hourly)	14.75	95.00	\$1,401.25
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	7	0.48	\$3.36
CSRs/Live Operators/Correspondence Processing (Hourly)	6.00	55.00	\$330.00
800 Number Charges (Per Minute)	24	0.14	\$3.36
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Storage - Paper (Per Box/Per Month)	2	2.50	\$5.00
Electronic Storage	1	109.29	\$109.29

TOTAL \$3,889.81

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO
 US BANK, N.A.
 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

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INVOICE INV000305708
PAGE 1/1
DATE 11/30/2023
CLIENT 661169

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	11	0.75	\$8.25
Upload and Import of Online Claim Forms	3	0.95	\$2.85
Claim Processing, Validation, and Audits (Hourly)	4.50	55.00	\$247.50
Project Management (Hourly)	3.00	165.00	\$495.00
Quality Assurance (Hourly)	5.25	150.00	\$787.50
Staff (Hourly)	1.25	95.00	\$118.75
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	5	0.48	\$2.40
CSRs/Live Operators/Correspondence Processing (Hourly)	1.50	55.00	\$82.50
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Storage - Paper (Per Box/Per Month)	2	2.50	\$5.00
Electronic Storage	1	111.69	\$111.69

TOTAL \$2,331.44

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SEND WIRES TO
 US BANK, N.A.
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 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

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INVOICE #: INV000305831
INVOICE DATE: 12/31/2023
CLIENT: 661169
PAGE: 1/1
TERMS: Net 30
DUE DATE: 1/30/2024

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	19	0.75	\$14.25
Upload and Import of Online Claim Forms	11	0.95	\$10.45
Loading of Electronic Claims Data (Hourly)	2.25	160.00	\$360.00
Deficiency/Ineligibility Notifications	1,914	0.85	\$1,626.90
Project Management (Hourly)	26.75	165.00	\$4,413.75
System Support (Hourly)	7.00	175.00	\$1,225.00
Quality Assurance (Hourly)	3.25	150.00	\$487.50
Staff (Hourly)	0.25	95.00	\$23.75
Postage	1	1,110.06	\$1,110.06
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	55	0.48	\$26.40
CSRs/Live Operators/Correspondence Processing (Hourly)	11.50	55.00	\$632.50
800 Number Charges (Per Minute)	127	0.14	\$17.78
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Storage - Paper (Per Box/Per Month)	2	2.50	\$5.00
Electronic Storage	1	121.63	\$121.63
QSF Income Tax Reporting 2023 (Per Year)	1	2,500.00	\$2,500.00

TOTAL \$13,044.97

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO
 US BANK, N.A.
 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

Past due invoices are subject to a 1.5% per month service charge

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INVOICE #: INV000306000
INVOICE DATE: 1/31/2024
CLIENT: 661169
PAGE: 1/1
TERMS: 30 days upon receipt

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	12	0.75	\$9.00
Upload and Import of Online Claim Forms	6	0.95	\$5.70
Claim Processing, Validation, and Audits (Hourly)	3.83	55.00	\$210.65
Project Management (Hourly)	38.07	165.00	\$6,281.55
Quality Assurance (Hourly)	0.95	150.00	\$142.50
Staff (Hourly)	21.15	95.00	\$2,009.25
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	45	0.48	\$21.60
CSRs/Live Operators/Correspondence Processing (Hourly)	20.23	55.00	\$1,112.65
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Imaging	1,371	0.20	\$274.20
Document Storage - Paper (Per Box/Per Month)	3	2.50	\$7.50
Electronic Storage	1	154.19	\$154.19

TOTAL \$10,698.79

MAIL CHECKS TO

PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO

US BANK, N.A.
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 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

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INVOICE #: INV000306164
INVOICE DATE: 2/29/2024
CLIENT: 661169
PAGE: 1/1
TERMS: 30 days upon receipt

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	9	0.75	\$6.75
Upload and Import of Online Claim Forms	4	0.95	\$3.80
Claim Processing, Validation, and Audits (Hourly)	10.41	55.00	\$572.55
Project Management (Hourly)	31.64	165.00	\$5,220.60
Quality Assurance (Hourly)	2.50	150.00	\$375.00
Staff (Hourly)	4.69	95.00	\$445.55
Postage	1	235.60	\$235.60
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	12	0.48	\$5.76
CSRs/Live Operators/Correspondence Processing (Hourly)	2.66	55.00	\$146.30
800 Number Charges (Per Minute)	38	0.14	\$5.32
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Imaging	30	0.20	\$6.00
Document Storage - Paper (Per Box/Per Month)	3	2.50	\$7.50
Electronic Storage	1	154.77	\$154.77

TOTAL \$7,655.50

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO
 US BANK, N.A.
 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

Past due invoices are subject to a 1.5% per month service charge

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 Class Action Administration
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INVOICE #: INV000306270
INVOICE DATE: 3/31/2024
CLIENT: 661169
PAGE: 1/1
TERMS: 30 days upon receipt

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	5	0.75	\$3.75
Upload and Import of Online Claim Forms	3	0.95	\$2.85
Claim Processing, Validation, and Audits (Hourly)	0.67	55.00	\$36.85
Project Management (Hourly)	2.91	165.00	\$480.15
System Support (Hourly)	0.50	175.00	\$87.50
Staff (Hourly)	0.86	95.00	\$81.70
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	8	0.48	\$3.84
CSRs/Live Operators/Correspondence Processing (Hourly)	1.86	55.00	\$102.30
800 Number Charges (Per Minute)	262	0.14	\$36.68
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Imaging	6	0.20	\$1.20
Document Storage - Paper (Per Box/Per Month)	3	2.50	\$7.50
Electronic Storage	1	263.91	\$263.91

TOTAL \$1,578.23

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO
 US BANK, N.A.
 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

Past due invoices are subject to a 1.5% per month service charge

A.B. DATA, LTD.
 Class Action Administration
 600 A. B. Data Drive
 Milwaukee, WI 53217
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 10 SOUTH LASALLE STREET SUITE
 3505
 CHICAGO, IL, 60603

INVOICE #: INV000306455
INVOICE DATE: 4/30/2024
CLIENT: 661169
PAGE: 1/1
TERMS: 30 days upon receipt

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	5	0.75	\$3.75
Upload and Import of Online Claim Forms	1	0.95	\$0.95
Claim Processing, Validation, and Audits (Hourly)	2.25	55.00	\$123.75
Project Management (Hourly)	0.18	165.00	\$29.70
Staff (Hourly)	3.06	95.00	\$290.70
Postage	1	12.18	\$12.18
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	5	0.48	\$2.40
CSRs/Live Operators/Correspondence Processing (Hourly)	1.53	55.00	\$84.15
800 Number Charges (Per Minute)	19	0.14	\$2.66
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Imaging	2	0.20	\$0.40
Post Office Box Rental/Renewal (Annually)	1	1,600.00	\$1,600.00
Document Storage - Paper (Per Box/Per Month)	3	2.50	\$7.50
Electronic Storage	1	155.03	\$155.03

TOTAL \$2,783.17

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO
 US BANK, N.A.
 400 W. Brown Deer Road, Bayside, WI 53217
 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

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INVOICE #: INV000306606
INVOICE DATE: 5/31/2024
CLIENT: 661169
PAGE: 1/1
TERMS: 30 days upon receipt

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	5	0.75	\$3.75
Upload and Import of Online Claim Forms	2	0.95	\$1.90
Project Management (Hourly)	1.17	165.00	\$193.05
System Support (Hourly)	0.97	175.00	\$169.75
Staff (Hourly)	1.84	95.00	\$174.80
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	10	0.48	\$4.80
CSRs/Live Operators/Correspondence Processing (Hourly)	1.91	55.00	\$105.05
800 Number Charges (Per Minute)	19	0.14	\$2.66
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Imaging	4	0.20	\$0.80
Document Storage - Paper (Per Box/Per Month)	3	2.50	\$7.50
Electronic Storage	1	152.13	\$152.13

TOTAL \$1,286.19

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
 Make checks payable to A.B. DATA, LTD.

SEND WIRES TO
 US BANK, N.A.
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Past due invoices are subject to a 1.5% per month service charge

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INVOICE #: INV000306781
INVOICE DATE: 7/15/2024
CLIENT: 661169
PAGE: 1/1
TERMS: 30 days upon receipt

INVOICE

JOB 54665 Neptune Wellness Solutions

DESCRIPTION	QTY	PRICE	AMOUNT
Receipt and Processing of Undeliverable Mail	2	0.75	\$1.50
Receipt and Preparation of Paper Claim Forms	1	2.50	\$2.50
Upload and Import of Online Claim Forms	3	0.95	\$2.85
Claim Processing, Validation, and Audits (Hourly)	2.04	55.00	\$112.20
Project Management (Hourly)	39.10	165.00	\$6,451.50
System Support (Hourly)	3.42	175.00	\$598.50
Quality Assurance (Hourly)	5.94	150.00	\$891.00
Staff (Hourly)	51.04	95.00	\$4,848.80
Website Maintenance/Hosting (Monthly)	1	275	\$275.00
Interactive Voice Response (IVR) (Per Minute)	6	0.48	\$2.88
CSRs/Live Operators/Correspondence Processing (Hourly)	5.83	55.00	\$320.65
800 Number Charges (Per Minute)	22	0.14	\$3.08
IVR and Line Maintenance (Monthly)	1	195	\$195.00
Document Imaging	3	0.20	\$0.60
Document Storage - Paper (Per Box/Per Month)	3	2.50	\$7.50
Electronic Storage	1	155.07	\$155.07

TOTAL \$13,868.63

MAIL CHECKS TO
 PO Box 170062, Milwaukee, WI 53217
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SEND WIRES TO
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 Routing Number 075000022
 Account Number 182377466541 (AB Data, Ltd.)
 Swift Code USBKUS44IMT

Past due invoices are subject to a 1.5% per month service charge



A.B. Data, Ltd.
 Class Action Administration Company
 600 A.B. Data Drive
 Milwaukee, WI 53217

Date: June 30, 2024

Case Name: *Neptune Wellness*

Distribution Estimate

Neptune Wellness

Estimated Payments: 1,950

	Quantity	Rate (\$)	Estimated Cost (\$)
Project Management and Reporting			
Project Management	30	165	\$4,950.00
System Support	20	175	\$3,500.00
Staff	30	95	\$2,850.00
Quality Assurance	20	150	\$3,000.00
Contact Center Support			
Interactive Voice Response	263	0.48	\$126.00
Long Distance and 800 Number Charges	1,463	0.14	\$204.82
CSRs/Live Operators (<i>per hour</i>)	20	45	\$900.00
IVR & Line Maintenance (<i>per month</i>)	9	195	\$1,755.00
Dynamic Website Maintenance/Hosting	9	250	\$2,250.00
Fund Distribution			
Distribution Setup	1	1,500	\$1,500.00
Printing and Mailing Checks (<i>minimum charge</i>)	1	1,500	\$1,500.00
Wire Transfers	8	75	\$600.00
Receipt and Processing of Undeliverable Checks	39	3.50	\$136.50
Reissuance of Checks to Updated Addresses	35	4.75	\$166.25
Check Processing Fee	1,500	0.18	\$270.00
Tax Administration			
QSF Income Tax Reporting (<i>per year</i>)	2	2,500	\$5,000.00
Postage			
Postage - Checks and Other Correspondence	1	1,000.00	\$1,000.00
Miscellaneous			
Post Office Box Rental/Renewal	1	1,580.00	\$1,580.00
Total Estimated Project Cost			\$ 31,288.57